

## AGENDA

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**Meeting:** Schools Forum  
**Place:** Council Chamber - County Hall, Trowbridge BA14 8JN  
**Date:** Thursday 12 November 2015  
**Time:** 1.30 pm

**Briefing Arrangements:**

There will be no briefing prior to the Schools Forum meeting.

Please direct any enquiries on this Agenda to Adam Brown, of Democratic Services, County Hall, Bythesea Road, Trowbridge, direct line 01225 718038 or email [adam.brown@wiltshire.gov.uk](mailto:adam.brown@wiltshire.gov.uk)

Press enquiries to Communications on direct lines (01225)713114/713115.

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<b>Membership:</b>	<b>Representing:</b>
Mr Neil Baker	PHF, Christ Church CE Primary School
Mr Martin Watson	Academy, Lavington School
Mrs Aileen Bates	WGA, SEN Governor Representative
Mr Andy Bridewell	PHF - Ludgershall Castle Primary School (PHF Vice-Chair)
Ms Amanda Burnside	Post 16 provider
Ms Michelle Chilcott	Academy - South Wilts Grammar
Mrs Judith Finney	Salisbury Diocesan Board of Education
Mrs Rosemary Collard	Snapdragon Nurseries
Miss Tracy Cornelius	PHF - Kington St Michael School
Ms Jan Hatherell	Academy, Hardenhuish School
Mr John Hawkins	Teacher Representative
Mrs Sue Jiggins	WGA - Primary School Governor Representative
Mr John Proctor	Early Years Representative (PVI)
Mrs Debbie Rock	WGA - Primary School Governor
Mr Nigel Roper	Stonehenge School
Ms Ingrid Sidmouth	SEN Sector, Rowdeford School
Mr David Whewell	WGA - Secondary School representative
Mrs Catriona Williamson	PHF, Mere Primary School

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# AGENDA

## PART I

Items to be considered whilst the meeting is open to the public

1 **Election of a Chair**

To elect a Chair of Schools Forum for 2015/16.

2 **Election of a Vice Chair**

To elect a Vice Chair of Schools Forum for 2015/16

3 **Apologies and Changes of Membership**

4 **Minutes of the previous Meeting** (*Pages 7 - 14*)

To approve and sign as a correct record the minutes of the meeting held on 18 June 2015 (copy attached)

5 **Declaration of Interests**

To receive any declarations of disclosable interests or dispensations granted by the Standards Committee.

6 **Chairman's Announcements**

7 **Trust Board Update**

To receive a verbal update.

8 **Budget Monitoring**

To receive budget monitoring information against the Dedicated Schools Grant (DSG) for the financial year 2014-15.

9 **14-15 Rollovers Report** (*Pages 15 - 30*)

The Schools Forum will receive a report which presents the position of revenue balances of Wiltshire maintained schools as at 31st March 2015 and identifies those that are in deficit.

Schools Forum members are invited to comment on this report.

10 **Membership of the f40 Group** (*Pages 31 - 36*)

The Schools Forum will be provided with an overview of the work of the f40 group and form a view as to Wiltshire would like to join the f40 group.

The Schools Forum is asked to:

1. Note the content of the report.
2. Give consideration to and decide whether to join the f40 group.
3. Should Schools Forum opt to join the f40 group, then the LA's Lead Member should be requested to advise local MP's of the decision and an

appropriate press release issued to confirm the LA's membership decision.

11 **Clarification of Growth Fund Definition** *(Pages 37 - 40)*

The Schools Forum will be presented a report which aims to seek clarification regarding the funding for Infant Class Size Growth, from the Wiltshire Growth Fund.

The Schools Forum is asked to note the content of the report and to give consideration to re-establishing a two pronged approach and setting a minimum threshold for the increase in pupil numbers.

12 **High Needs Additional Place Funding 2016-17** *(Pages 41 - 44)*

The Schools Forum will be presented with a report providing the options and proposals for the funding of additional High Needs places for 2016-17 onwards.

The Schools Forum is asked to note the content of the report and to give consideration to implementing the revised methodology for the funding of additional places from April 2016-17.

13 **High Needs Recoupment 2016-17** *(Pages 45 - 52)*

The Schools Forum will be provided with an update regarding the recoupment from schools in relation to High Needs place funding.

The Schools Forum is asked to note the content of the report and in response to the EFA's guidance, asked to give consideration to the most appropriate method for recoupment of High Needs funding whilst remaining compliant with the schools funding regulations.

14 **High Needs Review of Places for 2016-17** *(Pages 53 - 62)*

The Schools Forum will be provided with an update regarding the recent document issued by the Education Funding Agency (EFA), "High Needs funding 2016 to 2017" and "High needs: place change request process – Technical Note for 2016 to 2017".

The Schools Forum is asked to:

1. Note the content of the report.
2. Agree that Wiltshire should use this opportunity to review the number of High Needs places at all of its schools and submit the amendments to the EFA by the deadline of the 16th November 2015.
3. Give consideration to adopting one of the options detailed in paragraph 15. (A different option could be selected for Resource Bases and ELPs).

15 **Reports from Working Groups** *(Pages 63 - 68)*

To receive minutes, reports and/or verbal updates from the following working groups:

- School Funding Working Group
- SEN Working Group

16 **Confirmation of dates for future meetings**

To confirm the dates of future meetings, as follows:

14 January 2016

10 March 2016

16 June 2016

17 **Urgent Items**

Any other items of business, which the Chairman agrees to consider as a matter of urgency.

**PART II**

Item(s) during consideration of which it is recommended that the public should be excluded because of the likelihood that exempt information would be disclosed

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## **SCHOOLS FORUM**

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### **DRAFT MINUTES OF THE SCHOOLS FORUM MEETING HELD ON 18 JUNE 2015 AT KENNET ROOM - COUNTY HALL, TROWBRIDGE.**

#### **Present:**

Mr N Baker (Chairman), Mr M Watson (Vice-Chair), Mrs A Bates, Mr A Bridewell,  
Ms A Burnside, Miss Tracy Cornelius, Ms J Hatherell, Mr J Hawkins, Mr J Proctor,  
Mrs D Rock, Mr N Roper, Ms I Sidmouth and Mrs C Williamson

#### **Also Present:**

Cllr Richard Gamble

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#### **28 Apologies and Changes of Membership**

Apologies were received from:

Judith Finney  
Rosemary Collard  
Sue Jiggins  
David Whewell  
Michele Chilcott

#### **29 Minutes of the previous Meeting**

Disappointment was expressed by Catriona Williamson regarding regarding the recent letter received relating to the increased cost of some of the traded services, prior to a full review of the costs of the individual services.

#### **Resolved**

**To agree and sign the minutes as a true and correct record of the meeting held on 12 March 2015 subject to the following amendment:**

**Attendance:**  
**“Cllr Richard Gamble”**

#### **30 Declaration of Interests**

There were no declarations of interest.

#### **31 Chairman's Announcements**

There were no announcements

## 32 **Proportionality Review of the Wiltshire Schools Forum**

Adam Brown, Democratic Services Officer, was in attendance to present the report.

The report recommended that the Wiltshire Schools Forum agree to pass a recommendation to the relevant Cabinet Member that two additional membership spaces be created for a Primary Academy and a Special Academy representative through delegated authority.

The recommendation came as a result of a review which looked at the October 2014 numbers on roll (NOR) data and national guidance for Schools Forums. National guidance for Schools Forums stated that where there is at least one school in a particular category, there must be at least one representative for that group on the forum. It was clarified that there were two special academies in Wiltshire.

Mr Brown stated that after looking at the NOR data it was noted that primary academies in Wiltshire have 10.947% of pupils on roll. As such it was recommended that with this taken into account, that they should have representation on the Schools Forum.

The Forum considered how representatives would be elected. This was noted as being through the Primary Heads Forum (PHF) or WASSH. It was also noted that it was up to the proprietors of academies to elect and nominate representatives.

It was noted that representatives did not have to be Head Teachers.

The table in the report detailing numbers of members on the Wiltshire Schools Forum and who they were representing was noted as being incorrect. It was requested that Post-16 be included, and that the numbers match the membership table on the Schools Forum agenda front sheet. It was noted that clarification was needed on who each member was representing.

### **Resolved**

- 1. To agree in principle that two additional places on the Wiltshire Schools Forum be created for a Primary Academy and a Special Academy should receive representation**
- 2. To recommend to the relevant Cabinet Member that two additional membership spaces be created for a Primary Academy and a Special School Academy representative through delegated authority.**
- 3. To recommend that representatives be made through the Primary Heads Forum and WISSC and that only academy members are allowed to vote on appointments.**

## 33 **Trust Board Update**

Susan Tanner, Head of Commissioning and Joint Planning, was in attendance to deliver a verbal update.



Wiltshire College had undergone an Ofsted inspection and were expecting a report to follow in three weeks.

Recommissioning work at the Children's Centres was currently ongoing with a wide consultation on service specifications and framework. Within Children's Services it was noted that getting in early on was a key factor for consideration.

The "14-19 Training Strategy Provision" had been completed. Within the strategy strengths were noted as including: collaborative approaches, and expanding provision within the sector. Improvements were noted as being needed within: the tracking of young people and their destinations; re-engagement of young people aged 18 into work and training; and the monitoring of schools, colleges, and other education providers.

It was noted that the government had promised a significant injection of funding. It was being looked into where this could be used. Children's wellbeing was explained as being a major issue. Revamping the healthy schools programme, and commissioning online counselling for youth were also noted.

## **Resolved**

**To note the update.**

### **34 Budget Monitoring**

Grant Davis, Strategic Financial Support Manager, was in attendance to present the Budget Monitoring report.

The Dedicated Schools Grant (DSG) was overspent by £2.001 million at the end of the 2014-15 financial year. This had been an increase of £0.397m compared with the forecast at the end of January 2015.

The final budget for 2014-15 has been adjusted to reflect all academy recouplement through the year, including part year adjustments for in-year converting schools. This reduced the overall budget from £304.941million reported to the March meeting, to £193.168million as a final DSG allocation, prior to adjustments for the Early Years block.

The Early Years budget was explained and is adjusted annually based upon the January census data, the results of this don't get verified until April or May, in the following financial year. After verification of the January 2015 census data a further allocation of £0.153million was made to Wiltshire's Early Years Block, which increased the total DSG allocation for 2014-15 to £193.321million.

Within Early Years the budget for 3 & 4 year olds demonstrated a minor overspend of £0.041million compared with the previous forecast of £0.088million. The budget for free entitlement for 2 year olds was underspent by £0.476million, more than the forecasted underspend of £0.299million. The Early Years & Childcare team budget underspent by £0.123million during 2014-15.

Within the High Needs Budgets there was an underspend of £0.586million against expenditure on Independent Special School placements. Top up budgets in schools were overspent by £1.4million. The budget for Named Pupil

Allowances was overspent by £0.808m, and the top up budget for post-16 was overspent by £0.692million.

Other variances were noted as including an overspend in maternity costs amounting to £0.076million. The budget for Contingency and the Growth Fund was also noted as underspent by £0.369million benefiting from a significant number of back-dated Business Rates revaluations.

It was noted that any under or overspend against the Dedicated Schools Grant is carried forward to the following financial year. The total £2million overspend this financial year had to be funded from within the DSG reserve.

The potential full year cost outstanding for term time only back-pay was included as a figure of £0.2million, but was subject to further changes as there were still a number of outstanding arrears to be paid.

It was requested that within appendix one, that the Early Years section be separated in the future.

### **Resolved**

**To note the Budget Monitoring report.**

## **35 Reports from Working Groups**

### **a) School Funding Working Group (SFWG)**

The minutes of the 3 June 2015 SFWG meeting were presented to the Forum.

The Working Group had considered a report on the pressures within the High Needs Block for 2015-16.

It had been agreed by the SFWG to recommend that the Schools Forum continue to fund and recoup at the rate of £10,000 per place with regards to the provision of additional place-funding and recoupment of place-funding. This funding would be applied proportionately on a monthly basis.

### **Resolved**

- 1. To note the minutes of 3 June 2015 School Funding Working Group meeting.**
- 2. To note the recommendation in respect of the use of the place funding at £10,000 for both additional place funding and for recoupment.**

### **b) Special Educational Needs (SEN) Working Group (SENWG)**

A verbal update was provided.

It was noted that much of the work of the SENWG fed into the High Needs Budget 2015-16 report, detailed in minute 36.

## **Resolved**

**To note the verbal update.**

### **c) Early Years Reference Group (EYRG)**

The minutes of the 15 May 2015 EYWG meeting were presented to the Forum.

Cost pressures on the Early Years budget were discussed and a debate ensued regarding changes to the Early Years funding rates took place.

It had been requested that costings be provided to central government, following the proposed changes and that the first 30 hours of child care be provided free of charge. It was noted that the only ways that nurseries could do this was through charging more for additional hours.

## **Resolved**

**To note the minutes of the 15 May 2015 Early Years Reference Group meeting.**

## **36 High Needs Budgets 2015-16**

Grant Davis introduced the High Needs Budgets 2015-16.

The High Needs Budgets report was presented to the Forum in March along with measures to contain and reduce the impact of spends within the high needs sector.

The RAG Rating of Savings was considered by the Forum.

- Recycling the funding for unfilled ELP places was considered to be too late to implement in July and would therefore come out of the August payments.
- The opening of Greentrees Resource Base had been postponed by 12 months.
- Savings to within SEND Support Services had been confirmed.
- The option of not topping up the Hard to Place Pupils budget had been implemented.
- Renegotiating prices with Independent Providers was currently being explored, but it was noted that it was not known how the independent sector would respond.
- TIPS funding had been withdrawn

Progress on these savings is to be reported on at the next Schools Forum meeting.

It was asked if there were any schools within Wiltshire that needed recouping and would not have any places as a result. The mechanism for recoupment was explained as being delivered in a schedule to the school which detailed future funding amounts. The future funding would be reduced to balance out recoupment figures.

Concern was expressed from special school governors regarding budgets. It was noted that the problem was that the high needs element of the DSG was not sufficient to meet the High Needs requirements within Wiltshire. Many MP's had been contacted about this issue, and should be contacting the Department of Education regarding it.

It was explained that the Schools Forum was able to allocate DSG funding as deemed appropriate. It had been decided that the DSG should be ring-fenced into its particular allocations and not used to cross-fund other areas.

It was commented that many mainstream secondary and primary schools in the south of Wiltshire were experiencing pressure to admit children who were not suited to mainstream schools. This was explained as possibly resulting from pressure on special schools and cutbacks to their budgets. Mainstream schools were increasingly accommodating SEN children due to a lack of increase in special school places and special schools.

### **Resolved**

- 1. To note the report.**
- 2. To confirm that the RAG monitoring of the savings proposals be adopted for identifying the impact of the savings measures for 2015-16.**
- 3. To agree that the first tranche of ELP and Resource Base recoupment should commence from the August top up payments.**
- 4. To confirm that additional place funding should be awarded and unfilled place funding be recouped at the annual rate of £10,000 per place, awarded and recouped on a monthly basis. Where recoupment would cause particular hardship, for example through a temporary drop in pupil numbers, then schools could submit a case to the SFWG for their consideration.**

### **37 Any Other Business**

There was no other business.

### **38 Confirmation of dates for future meetings**

It was noted that in 2014 one meeting was held in November to replace the September and December meetings. It was suggested the September and December meetings be combined into one November meeting in 2015, unless a consultation is released regarding a new National Funding Formula.

### **Resolved**

- 1. To cancel the Thursday 8 October 2015 and Thursday 10 December 2015 Schools Forum meetings.**
- 2. To hold a meeting of the Schools Forum on Thursday 12 November 2015.**

39 **Urgent Items**

A paper on redundancy situations for over 55's was circulated to the Forum. This was explained as bringing Wiltshire in line with the rest of the South West authorities. The plan was hoped to be in place by September 2015.

A report on Virtual Schools Financing was requested for the next meeting.

40 **Schools Management Information Systems**

Grant Davis introduced the Schools Management Information Systems report.

As a local authority it was explained that there were economies of scale of purchasing the annual licences for the MIS through the LA on behalf of maintained schools.

The report detailed that Wiltshire is considering its procurement options.

The report was noted as being included for information only. It was included under part two due to the procurement nature of the topic.

**Resolved**

**To note the update report.**

(Duration of meeting: 1.30 - 3.30 pm)

The Officer who has produced these minutes is Adam Brown, of Democratic Services, direct line 01225 718038, e-mail [adam.brown@wiltshire.gov.uk](mailto:adam.brown@wiltshire.gov.uk)

Press enquiries to Communications, direct line (01225) 713114/713115

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## Wiltshire Council

### SCHOOLS FORUM November 2015

#### SCHOOLS REVENUE SURPLUS AND DEFICIT BALANCES 2014/15

##### Introduction

1. This report presents the position of revenue balances of Wiltshire maintained schools as at 31<sup>st</sup> March 2015 and identifies those that are in deficit.
2. The analysis of net revenue balances excludes those schools that converted to academy status during the financial year but includes those that converted post 31<sup>st</sup> March 2015.
3. Members last considered a report on schools' balances and deficits in November 2014. In that report the value of surpluses was £9.943 million and 15 schools were in deficit with a total value of £1.314 million.

##### Main Considerations

4. The movement in net revenue balances over the past 3 financial years is shown in the following table:-

	2012/13	2013/14	2014/15	2014/15 Balances as % of 2014/15 Budget Share	Increase/ Decrease from 2013/14	Increase/ Decrease from 2013/14
	£	£	£	£	£	%
Primary	7,499,409	7,807,796	8,846,489	6.40	1,038,693	13.30
Secondary	-367,569	-370,978	-1,072,086	-0.78	-701,108	189.00
Special	814,200	1,192,496	709,194	0.51	-483,302	-40.53
	<b>7,946,040</b>	<b>8,629,315</b>	<b>8,483,597</b>	<b>6.14</b>	<b>-145,717</b>	<b>-1.69*</b>

\*NB: this represents the total percentage decrease in all schools balances between 2013/14 and 2014/15

5. Consideration of net revenue balances, as in paragraph 4, obscures the underlying trend of gross revenue and deficit balances. For transparency, the gross balances are identified below:

	Surplus balance			Deficit balance		
	2012/13 £	2013/14 £	2014/15 £	2012/13 £	2013/14 £	2014/15 £
Primary	7,646,947	7,999,401	9,276,952	-147,538	-191,605	-430,463
Secondary	697,053	751,155	767,313	-1,064,622	-1,122,133	-1,839,399
Special	814,200	1,192,496	709,194	0	0	0
<b>Total</b>	<b>9,158,200</b>	<b>9,943,052</b>	<b>10,753,459</b>	<b>-1,212,160</b>	<b>-1,313,738</b>	<b>-2,269,862</b>

6. The DfE withdrew the requirement for local authorities to have a claw back mechanism in place with effect from April 2011. In response, Schools Forum agreed to remove the Wiltshire Council mechanism, the Controls on Surplus Balances Scheme, from 2013/14. This operated with permissible thresholds of 5% and 8% for secondary and primary/special schools respectively.
7. In April 2012, the DfE published a consultation on strengthening the assurance system for financial management in local authority maintained schools. The proposals were designed to help ensure that authorities, and their schools, were managing the vast sums of public money that they receive with propriety, securing value for money across all spending.

Having considered the responses, the DfE confirmed that from 2011/12 they would be asking local authorities to provide additional information, including:

- a) Where the authority has 5% of schools that have had a surplus of 15% or more for the last 5 years and their individual surplus must have been at least £10,000 each year. Authorities will only be asked for more information where at least three schools meet the criteria
  - b) Where the authority has 2.5% of its schools that have been in deficit of 2.5% or more for the last 4 years and their individual deficit must have been at least £10,000 each year. Authorities will only be asked for more information where at least three schools meet the criteria
8. The consultation stated that:

*“The Government believes that schools are best placed to manage their money and it is sound financial management for schools to keep a small balance from year to year. In this tight financial climate, it is reasonable for schools to keep some money aside for when it is needed most and they should be able to do this without criticism or claw back.*

*However, if a school has a very large surplus for several years, this suggests that they do not have a clear plan for how this will be deployed and so are not using their allocated funding to fully benefit today’s pupils. Local Authorities continue to have a key role in supporting and challenging schools on excessive surplus balances. This is why they are able to include a provision in their local schemes to claw back excessive, uncommitted, revenue surpluses. Although they are no longer required to operate a claw back mechanism, we do expect authorities to effectively challenge any schools that have very high, uncommitted surpluses.”*

9. Following the withdrawal of the Controls on Surplus Balances Scheme from 2013/14, Schools Forum considered how best to enable the Local Authority to fulfil its key role in supporting and challenging schools on excessive surplus balances. At their meeting on 16<sup>th</sup> January 2015, it was agreed that to maintain a balance between encouraging prudent financial management whilst also embracing the DfE’s guidance that schools should operate autonomously, schools would be presented with an annual School Financial Management Information Statement at year end which would offer an analysis of the key areas of revenue balances and budget monitoring. For those schools whose revenue balances had exceeded the 5% or 8% thresholds in 2014/15, assurance would be sought that the Statement had been considered by the responsible officer and governing body.



10. When considering individual revenue balances, the underlying factors and causes generating or reducing balances need to be taken into consideration. Factors which may skew any analysis include:
  - i) Academies – year on year analyses of total revenue balance data should be discounted to reflect the effect of academy conversions in order to compare on a like for like basis.
  - ii) Formula Capital – schools receive significantly reduced Devolved Formula Capital allocations when compared to historic levels of funding and may retain revenue balances in support of planned capital projects.
  - iii) Pupil Premium – this does not have to be spent in year and some, or all, may be carried forward to future financial years.
11. Appendices attached to this report further analyse the overall position on schools' revenue balances as follows:
  - i) *Appendix 1* analyses the 2014/15 revenue balances to categorize those that are deemed above limit or reasonable, i.e.  $\geq 15\%$  or  $\leq 15\%$ , or a deficit.
  - ii) *Appendix 2* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 7(a), by identifying those schools that have had revenue balances in excess of 15% during the last 5 years.
  - iii) *Appendix 3* further analyses surplus revenue balances in excess of 5% or 8%, for secondary or primary/special schools respectively.
  - iv) *Appendix 4* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 7(b), by identifying those schools that have had a deficit balance in the last four years.
  - v) *Appendix 5* compares planned revenue deficits against final outturn.

## **Key Issues**

12. The net revenue balances now stand at £8.484 million, a decrease of 1.69% when compared with the 2013/14 net revenue balance of £8.629 million. The gross revenue balances have, however, increased each year from 2012/13 with an increase of 8.15% recorded from 2013/14 to 2014/15. The underlying reason for the net decrease is the exponential increase in the secondary schools deficit balance from £1,122,133 in 2013/14 to £1,839,399 in 2014/15.
13. The number of schools in deficit is 18 with a total value of £2.27 million. This reflects an increase in number of three and value of £0.956 million when compared to 2013/14, as detailed in paragraph 3 above.
14. Appendix 2 informs that only one school has had revenue balances in excess of 15% for each of the last five years. This school had received a transitional adjustment to protect funding and, in consequence, Schools Forum agreed revised permissible surplus balance levels for this period.
15. An analysis of the Wiltshire Local Authority position on deficit and surplus balances, as reported in Appendices 2 and 4, indicates that the Wiltshire outturn data for 2014/15 would not trigger further enquiry from the DfE as described in paragraph 7.

16. As schools convert to academy status there is an impact on the value of balances held by schools and recorded in the Local Authority's accounts. Converter and sponsored academies are able to take any accumulated capital and revenue balances with them with the exception of those schools closed through statutory processes or the Secretary of State issuing an academy order in respect of the school being eligible for intervention.
17. Deficit balances, unlike surplus balances, are not covered in the same way by legislation. For a converter academy, the local authority is reimbursed the value of the deficit with the money being recovered via abatement of the academy's General Annual Grant. In the case of a sponsored academy, the deficit remains with the local authority to be funded from its core budget.

## **Recommendations**

18. Schools Forum members are invited to comment on this report.

Carolyn Godfrey  
Corporate Director

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Report Author: Jane Ralph  
School Strategic Financial Management Adviser  
Contact: Tel.: 01225 718569

## ANALYSIS OF REVENUE BALANCES 2014/15

School Phase	Balances Above Limit				Reasonable Balances				Deficit Balances			
	Number	Balance Value	2014/15 Budget Share	Balance as % of Budget	Number	Balance Value	2014/15 Budget Share	Balance as % of Budget	Number	Balance Value	2014/15 Budget Share	Balance as % of Budget
Primary	24	£3,338,206	£16,583,200	20.1%	128 *9	£5,938,746	£78,123,652	7.6%	13 *2	-£430,463	£8,923,494	-4.8%
Secondary	0	£0	£0	0.0%	4 *2	£767,313	£16,744,429	4.6%	5	-£1,839,399	£14,266,533	-12.9%
Special	2	£488,928	£2,961,598	16.5%	2	£220,266	£3,824,302	5.8%	0	£0	£0	0.0%
<b>Total</b>	<b>26</b>	<b>3,827,134</b>	<b>19,544,798</b>	<b>19.6%</b>	<b>134</b>	<b>£6,926,325</b>	<b>£98,692,383</b>	<b>7.0%</b>	<b>18</b>	<b>-£2,269,862</b>	<b>£23,190,028</b>	<b>-9.8%</b>

Page 19

## Deficits as a percentage of positive balances

School Phase	Deficits	Positive Balances	Deficit as a % of Balance
Primary	-£430,463	£9,276,952	4.6%
Secondary	-£1,839,399	£767,313	239.7%
Special	£0	£709,194	0.0%
<b>Total</b>	<b>-£2,269,862</b>	<b>£10,753,459</b>	<b>21.1%</b>

## Classification of Balances

Balances above limit: Greater than 15% of School Budget Share  
Reasonable: Positive, but below 15% of School Budget Share  
Deficits: Negative

\*Indicates the number of schools that have converted to academy status since 31 March 2015

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**Analysis of schools that have had revenue balances in excess of 15% of their total School Budget Share (excluding Pupil Premium frunding) for the last 5 years**

DfE No	School Name	Type	2010/11	2011/12	2012/13	2013/14	2014/15	2014/15	
			%	%	%	%	%	Committed	Uncommitted
2003	Fynamore	Primary	-	-	-	15.0	17.3	£3,086	£230,131
2008	Fitzmaurice	Primary	-	-	-	-	18.2	£20,506	£160,202
2022	Ivy Lane	Primary	16.7	21.5	-	-	16.0	£79,150	£101,721
2023	St Paul's	Primary	-	-	17.3	-	-	-	-
2029	Lypiatt	Primary	-	-	-	-	31.5	£52,560	£17,252
2065	Larkhill	Primary	-	16.3	-	-	-	-	-
2191	Manor Fields	Primary	-	-	-	-	16.9	£5,496	£144,583
2198	Ludwell	Primary	-	-	-	-	18.0	£7,341	£45,983
3013	Box Primary	Primary	-	19.0	23.7	35.6	48.0	£13,863	£294,001
3018	Broad Hinton CE	Primary	16.0	-	-	-	-	-	-
3020	St Nicholas CE VC	Primary	-	-	-	15.5	21.9	£20,000	£56,964
3047	Crockerton	Primary	-	-	-	-	20.5	£9,143	£74,910
3049	Collingbourne CE	Primary	-	-	-	15.9	20.3	£6,356	£92,145
3078	Grafton	Primary	-	33.2	-	-	-	-	-
3096	Kington St Michael CE	Primary	-	-	-	15.8	19.9	£40,000	£52,313
3110	Lydiard Millicent	Primary	24.0	-	-	-	-	-	-
3141	Oare CE	Primary	-	-	17.8	21.3	-	-	-
3150	St Mary's CE	Primary	-	-	-	-	15.6	£1,616	£162,925
3166	Southwick CE	Primary	-	-	-	-	16.0	£18,852	£80,143
3186	Urchfont CE	Primary	-	-	-	-	17.2	-	£70,431
3190	St John's CE	Primary	-	-	19.6	18.5	22.0	£18,000	£88,593
3191	The Minster CE	Primary	-	-	-	-	17.8	£7,213	£124,709
3344	Forest & Sandridge CE	Primary	-	-	-	18.8	26.0	£152,157	£41,500
3381	Rushall CE VA	Primary	-	-	-	18.8	27.7	£26,148	£104,955
3387	St Martin's CE	Primary	-	-	-	17.6	17.3	£5,158	£111,811
3418	St Joseph's Catholic	Primary	-	-	-	-	20.0	£29,685	£70,829
3470	Wilton & Barford CE	Primary	-	-	-	16.2	22.1	£4,298	£118,966
3472	Bellefield	Primary	-	-	16.8	16.6	15.2	£55,777	£102,213
5206	Studley Green	Primary	-	17.0	-	-	22.0	£70,500	£150,944
5219	Clarendon Infants	Primary	-	18.6	17.6	23.6	18.3	£2,258	£190,818
7007	Downland School	Special	25.9	30.2	25.3	25.8	16.4	£12,812	£200,657
7009	St Nicholas	Special	-	-	-	-	16.6	£31,678	£243,781
<b>Total number schools</b>			<b>4</b>	<b>7</b>	<b>7</b>	<b>14</b>	<b>26</b>		

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**Analysis of schools that have had revenue balances in excess of 5% and 8%, for secondary and primary/special schools respectively, within the last 5 years.**

**NB: calculated as a % of School Budget Share and excluding Pupil Premium funding**

DfE No	School Name	Type	2010/11	2011/12	2012/13	2013/14	2014/15
2003	Fynamore	Primary		✓	✓	✓	✓
2005	Nursted	Primary			✓		✓
2008	Fitzmaurice	Primary	✓			✓	✓
2022	Ivy Lane	Primary	✓	✓		✓	✓
2023	St Paul's	Primary		✓	✓	✓	✓
2029	Lypiatt	Primary	✓	✓	✓		✓
2031	Neston	Primary		✓			
2034	Monkton Park	Primary					✓
2037	Southbroom Infant's	Primary		✓	✓	✓	
2045	Gomeldon	Primary				✓	✓
2052	Hilmarton	Primary		✓			
2053	Horningsham	Primary		✓	✓	✓	✓
2060	Luckington	Primary					✓
2065	Larkhill	Primary	✓	✓		✓	✓
2086	Stanton St Quintin	Primary					✓
2087	Ramsbury	Primary		✓	✓		✓
2091	Harnham Infants	Primary		✓		✓	✓
2136	Westbury Infants	Primary		✓		✓	✓
2137	Westwood-with-Iford	Primary	✓				
2140	Wootton Bassett Infants	Primary	✓		✓	✓	✓
2162	Noremars	Primary			✓	✓	✓
2168	Priestley	Primary					✓
2178	Princecroft	Primary					✓
2180	Redland	Primary		✓			✓
2190	Woodlands	Primary					✓
2191	Manor Fields	Primary			✓	✓	✓
2196	Holbrook	Primary			✓		
2198	Ludwell	Primary	✓	✓	✓	✓	✓
2218	Kings Lodge	Primary	✓	✓	✓		
2222	Walwayne Court	Primary	✓	✓	✓		
2223	Bowerhill	Primary		✓	✓	✓	
2226	Charter	Primary	✓	✓	✓	✓	✓
3002	Ashton Keynes	Primary					✓
3013	Box Primary	Primary	✓	✓	✓	✓	✓
3018	Broad Hinton	Primary	✓	✓		✓	✓
3019	Broad Town	Primary					✓
3020	St Nicholas, Chippenham	Primary			✓	✓	✓
3021	Broughton Gifford, St Mary's	Primary				✓	
3023	St Katharine's	Primary				✓	✓
3035	Cherhill	Primary	✓	✓			
3045	St Sampson's Junior	Primary		✓	✓		✓
3047	Crockerton	Primary	✓		✓	✓	✓
3049	Collingbourne	Primary		✓	✓	✓	✓
3071	Figheldean	Primary					✓
3086	Heddington	Primary				✓	✓
3088	Hilperton	Primary					✓
3090	Holt	Primary		✓	✓		✓

DfE No	School Name	Type	2010/11	2011/12	2012/13	2013/14	2014/15
3091	Hullavington	Primary		✓	✓	✓	✓
3096	Kington St Michael	Primary			✓	✓	✓
3100	Lacock	Primary				✓	✓
3102	Langley Fitzurse	Primary					✓
3104	Lea and Garsdon	Primary			✓	✓	✓
3110	Lydiard Millicent	Primary	✓	✓			
3141	Oare	Primary		✓	✓	✓	
3143	Ogbourne St George & St Andrew	Primary				✓	✓
3149	Preshute	Primary				✓	✓
3150	St Mary's, Purton	Primary					✓
3158	Harnham Junior	Primary		✓	✓		✓
3159	Seagry	Primary					✓
3161	Shalbourne	Primary		✓		✓	✓
3163	Sherston	Primary			✓	✓	
3164	Shrewton	Primary				✓	✓
3166	Southwick	Primary				✓	✓
3172	Stratford Sub Castle	Primary					✓
3186	Urchfont Church of England	Primary				✓	✓
3190	St John's, Warminster	Primary		✓	✓	✓	✓
3191	The Minster	Primary		✓		✓	✓
3201	Winterbourne Earls	Primary					✓
3216	St Peter's, Marlborough	Primary	✓	✓			
3220	Minety Church of England	Primary	✓	✓			✓
3242	Brinkworth Earl Danby's	Primary					✓
3243	Great Bedwyn Church of England	Primary	✓	✓	✓	✓	✓
3306	Baydon St Nicholas	Primary					
3308	Bishops Cannings	Primary				✓	✓
3316	Chapmanslade Church of England	Primary			✓		
3330	Derry Hill	Primary				✓	✓
3331	Trinity	Primary					✓
3344	Forest and Sandridge	Primary	✓	✓	✓	✓	✓
3352	Heytesbury	Primary					✓
3355	St Nicholas	Primary					✓
3372	New Forest	Primary			✓		
3381	Rushall Church of England	Primary				✓	✓
3387	St Martin's Church of England	Primary			✓	✓	✓
3396	St Thomas a Beckett	Primary				✓	✓
3400	West Ashton	Primary	✓	✓		✓	
3402	Whiteparish	Primary				✓	✓
3405	Winterslow	Primary		✓		✓	✓
3418	St Joseph's	Primary	✓	✓		✓	✓
3430	St John's Trowbridge	Primary		✓			
3435	Wardour	Primary					✓
3437	St Patrick's	Primary	✓				
3448	Bemerton	Primary				✓	✓
3449	Broad Chalke	Primary				✓	✓
3453	Chilmark	Primary		✓	✓	✓	✓
3461	Kennet Valley Church of England	Primary	✓				
3462	Amesbury Archer	Primary					
3465	Wylve Valley	Primary				✓	
3467	Churchfields The Village School	Primary				✓	✓
3469	Five Lanes	Primary		✓			✓



DfE No	School Name	Type	2010/11	2011/12	2012/13	2013/14	2014/15
3470	Wilton and Barford	Primary		✓		✓	✓
3472	Bellefield	Primary		✓	✓	✓	✓
4013	The Oak	Secondary			✓		
4610	St Joseph's	Primary					✓
5201	Downton	Primary	✓				
5205	Frogwell	Primary	✓	✓			
5206	Studley Green	Primary	✓	✓	✓	✓	✓
5212	Sutton Bengier	Primary				✓	✓
5215	Ludgershall Castle Primary	Primary	✓	✓	✓	✓	
5218	Clarendon Juniors	Primary			✓	✓	
5219	Clarendon Infants	Primary	✓	✓	✓	✓	✓
7002	Rowdeford	Special		✓		✓	
7007	Downland School; Devizes	Special				✓	✓
7009	St Nicholas, Chippenham	Special				✓	✓
7010	Larkrise School, Trowbridge	Special				✓	✓
<b>Total number schools</b>			30	49	41	65	85

**Analysis of schools that have had revenue balances in excess of 15% of their total School Budget Share (excluding Pupil Premium funding) for the last 5 years**

DfE No	School Name	Type	2010/11	2011/12	2012/13	2013/14	2014/15
2003	Fynamore	Primary				✓	✓
2008	Fitzmaurice	Primary					✓
2022	Ivy Lane	Primary	✓	✓			✓
2023	St Paul's	Primary			✓		
2029	Lypiatt	Primary					✓
2065	Larkhill	Primary		✓			
2191	Manor Fields	Primary					✓
2198	Ludwell	Primary					✓
2208	Pewsey	Primary				✓	
3013	Box Primary	Primary		✓	✓	✓	✓
3018	Broad Hinton CE	Primary	✓				
3020	St Nicholas CE VC	Primary				✓	✓
3047	Crockerton	Primary					✓
3049	Collingbourne CE	Primary				✓	✓
3078	Grafton	Primary		✓			
3096	Kington St Michael CE	Primary				✓	✓
3110	Lydiard Millicent	Primary	✓				
3141	Oare CE	Primary			✓	✓	
3150	St Mary's CE	Primary					✓
3166	Southwick CE	Primary					✓
3186	Urchfont CE	Primary					✓
3190	St John's CE	Primary				✓	✓
3191	The Minster CE	Primary					✓
3207	Dilton Marsh CE	Primary		✓			
3344	Forest & Sandridge CE	Primary				✓	✓
3381	Rushall CE VA	Primary				✓	✓
3387	St Martin's CE	Primary				✓	✓
3418	St Joseph's Catholic	Primary					✓
3470	Wilton & Barford CE	Primary				✓	✓
3472	Bellefield	Primary			✓	✓	✓
5206	Studley Green	Primary		✓			✓
5219	Clarendon Infants	Primary		✓	✓	✓	✓
7007	Downland School	Special	✓	✓	✓	✓	✓
7009	St Nicholas	Special					✓
<b>Total number schools</b>			<b>4</b>	<b>8</b>	<b>6</b>	<b>15</b>	<b>26</b>

150%      73%

**Note:** One school has had a revenue balance in excess of 15% or more for the last 5 years. This is below the threshold set by the DfE and would not trigger an enquiry.

## Analysis of schools that have been in a deficit position in the last four years, i.e. 2011/12 to 2014/15

DfE No.	School	Type	NOR Oct 2014	2011/12		2012/13		2013/14		2014/15		Notes
				Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	
2004	Greentrees	Primary	257	0	-	0	-	0	-	-15462	1.9	
2009	Bratton	Primary	122	0	-	-382	0.1	0	-	0	-	
2159	Kiwi School	Primary	190	-18,165	4.1	-21,365	4.5	0	-	0	-	
3017	Longford CE	Primary	44	0	-	0	-	-42,257	14.6	-47478	18.7	
3022	Bulford CE	Primary	225	-49,606	6.9	0	-	0	-	0	-	
3030	St Dunstan	Primary	268	0	-	0	-	0	-	-57614	5.11	
3036	Chirton	Primary	45	0	-	0	-	-2,386	1.4	0	-	
3061	Durrington All Saints	Primary	160	0	-	-3,429	0.6	-28,516	5.3	0	-	
3094	Keevil	Primary	105	-8,014	2.2	-11,404	3.0	-3,103	0.8	0	-	
3134	Newton Tony	Primary	54	0	-	0	-	-3,365	1.4	0	-	
3140	Oaksey CE VA	Primary	89	0	-	0	-	0	-	-2834	0.87	
3160	St George's CE	Primary	78	0	-	0	-	-22,716	7.7	-6563	2.31	
3192	Westbury CE Junior	Primary	232	0	-	0	-	-25,803	2.9	-12292	1.39	
3205	Warminster Sambourne	Primary	135	-6,394	1.2	0	-	0	-	0	-	
3222	St. Barnabas	Primary	71	-8,293	2.0	-19,745	4.9	-18,107	5	-22129	7	
3239	St John's CE	Primary	88	-12,935	3.6	0	-	0	-	0	-	
3318	Chilton Foliat CE	Primary	68	0	-	0	-	0	-	-11168	3.86	
3331	St Peter's, Devizes	Primary	165	-30,696	9.6	-11,367	2.7	0	-	0	-	
3352	Heytesbury CE VA	Primary	68	-40,094	15.8	-13,616	5.1	0	-	0	-	
3355	Idmiston CE VA	Primary	131	-4,899	1.3	0	-	0	-	0	-	
3388	Seend	Primary	109	-14,815	4.0	-22,592	5.7	-1,814	0.4	0	-	
3402	Whiteparish	Primary	136	-10,373	2.5	0	-	0	-	0	-	
3435	Wardour Catholic	Primary	92	-15,393	4.0	-23,408	5.7	0	-	0	-	
3450	Great Wishford	Primary	127	-10,235	2.3	-6,131	1.4	0	-	0	-	
3462	Amesbury Archer	Primary	342	0	-	0	-	-30,281	3.3	-117654	10.91	
3464	Old Sarum	Primary	168	0	-	-4,219	1.1	0	-	-23	0	
3468	Amesbury Primary	Primary	313	-2,626	0.3	0	-	0	-	-39635	3.74	
3471	Lyneham Primary	Primary	221	0	-	0	-	-13,258	1.4	-87548	9.6	
4000	Abbeyfield	Secondary	599	-254,687	5.6	-312,628	7.1	-330,954	7.8	-687773	18.0	See note below
4001	Wyvern College	Secondary	304	-260,803	13.7	-164,634	9.3	-86,653	4.7	-146267	8.5	See note below
4006	Trafalgar	Secondary	544	0	-	0	-	0	-	-57133	2.2	
4070	Stonehenge	Secondary	593	0	-	0	-	-36,238	1.1	-120344	4.0	

DfE No.	School	Type	NOR Oct 2014	2011/12		2012/13		2013/14		2014/15		Notes
				Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	
4071	Avon Valley College	Secondary	530	-282,106	8.0	-547,981	16.2	-668,288	20.4	-827,882	27.09	See note below
5200	Aloeric	Primary	350	0	-	0	-	0	-	-10,064	0.92	
5415	Matravers	Secondary	764	0	-	-39,379	0.8	0	-	0	-	
<b>Total value of deficits</b>				<b>-1,030,134</b>		<b>-1,202,280</b>		<b>-1,313,739</b>		<b>-2,269,863</b>		

**Note:** Three schools have been in deficit of 2.5%, or more, for each of the last 4 years and this equates to 1.69% of Wiltshire LA schools as at 31st March 2015. This is below the 2.5% threshold set by the DfE , see paragraph 7(b), and would not trigger an enquiry.

**Analysis of Planned Revenue Deficits & Final Outturn**

DfE No.	School Name	Budget Template 14/15	Income & Expenditure Forecast @ Dec 14	Revenue Actual 14/15	Predicted & ended in deficit	Predicted a surplus/balanced budget & ended in deficit	Notes
2004	Greentrees	£4,572	£45		-£15,462	*	
2029	Corsham Lypiatt		£63,071	£69,812			
3017	Longford	-			-£47,478		Template not received
3030	St Dunstan				-£57,614	*	
3036	Chirton	£1,100		£2,580			
3061	Durrington All Saints CE Infant			£5,570			
3134	Newton Tony C.E.		£5,157	£2,513			
3140	Oaksey				-£2,833	*	
3160	Semington St George's C.E.				-£6,562	*	Converted to academy 1 April 15
3192	Westbury	£103	£519		-£12,292	*	
3205	Sambourne		£5,152	£18,836			
3222	Market Lavington St. Barnabas' C.E.		-		-£22,129	*	Dec I&E not received
3239	Tisbury St John's C.E.			£16,936			
3318	Chilton Foliat				-£8,277	*	
3355	St Nicholas, Porton		-	£35,751			Dec I&E not received
3362	St Andrews, Laverstock		£7,450	£26,210			
3459	Hindon		£682	£4,870			
3460	Alderbury and West Grimstead C.E.		£19,122	£35,080			
3462	Amesbury Archer				-£117,653	*	
3464	Old Sarum		£2,155		-£23	*	
3468	Amesbury Primary	£15,516	-		-£39,635	*	Dec I&E not received
3471	Lyneham				-£87,548	*	
4000	Abbeyfields				-£798,577	*	
4001	Wyvern College				-£146,267	*	
4006	Trafalgar				-£57,133	*	
4070	Amesbury The Stonehenge				-£120,343	*	
4071	Avon Valley		-		-£827,882	*	Dec I&E not received
5200	Aloeric	£14,688	£15,880		-£10,064	*	
5216	Pitton		£2,753	£9,405		*	
5408	Bradon Forest	-		£39,330			Template not received
<b>Total value of deficits</b>		<b>-£2,645,504</b>	<b>-£1,812,442</b>	<b>-£2,269,858</b>			
<b>Number of Deficits</b>		<b>23</b>	<b>15</b>	<b>18</b>	<b>13</b>	<b>5</b>	

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## Wiltshire Council

### Schools Forum

12<sup>th</sup> November 2015

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### Membership of the f40 Group

#### Purpose of report

1. To provide an overview of the work of the f40 group and form a view as to Wiltshire would like to join the f40 group.

#### What is f40?

2. f40 represents a group of the lowest funded education authorities in England where government-set cash allocations for primary and secondary pupils are the lowest in the country.
3. The group has the support of MPs, councillors, education directors, governors, head teachers, parents and teaching union representatives. f40 currently has 38 member authorities representing 2,472,620 pupils (31.5% of the total in England) in 7,944 schools (36.2%)
4. As the gap between the 'haves' and the 'have nots' remains and in some cases continues to widen, f40 is campaigning to change the way the government allocates funding to local authorities and schools.

#### Aims & Objectives of f40

5. Over the years f40's aim has been to influence a significant change in the way the government allocates funding to local authorities and schools. The allocations for primary and secondary pupils in the authorities in the f40 group are the lowest in the country.
6. Whilst f40 has welcomed the extra money allocated to education in recent years, it believes that adjustments to the formula have failed to address the fundamental problems inherent in the current system.
7. f40 has always maintained that it wants to see a system which recognises the special needs of all LAs whether they are in urban, rural or inner London locations. Fundamentally their aim is to enable a system which allows the lowest funded councils to catch up.
8. In recent years it has become clear that the government has recognised that a new, fairer and more transparent school funding system is needed. In July 2014, an additional allocation of £390million for 2015-16, shared between many of those authorities identified as being among the poorest

funded, was announced. Of this amount, around £210million was allocated to f40 member authorities and Wiltshire's share was £5.7million.

9. Whilst the f40 Group warmly welcomed the extra funding it expressed concern that the methodology for allocating the extra cash was flawed, in particular because it is solely based on the Schools Block of the Dedicated Schools Grant. This has resulted in significant allocations to authorities, including some that are already comparatively well-funded, whilst some very low funded authorities received little or no increase.

### **The Wiltshire Position**

10. Wiltshire has traditionally ranked amongst the bottom 10 funded authorities across the country, which has led to the authority making representations both locally and nationally with regard to the County's funded position.
11. The recently awarded 'fairer funding' monies of £5.7m for Wiltshire has done little to lift Wiltshire out of the bottom 10 funded authorities. Attached at Annex A is a table showing where Wiltshire currently ranks.
12. Prior to receiving the 'fairer funding' monies, Wiltshire was ranked the 6<sup>th</sup> lowest funded authority in the country, however following the receipt of the additional funding, Wiltshire is now ranked the 7<sup>th</sup> lowest funded authority in the country, see Appendix 1 to this report.
13. Wiltshire is currently not a member of the f40 group, however as the group has recently seen a shift in momentum, thoughts of joining the group have prompted discussion. Indeed, on a number of occasions, both elected members and head teachers in Wiltshire have made reference to the work and research of the f40 group.

### **The Financial Implications**

14. Membership has been confirmed as costing £1,000 per annum. The secretary of the f40 group has written to confirm the cost of the annual membership. Recent members to the f40 group this year include Hampshire, Northamptonshire and Buckinghamshire.



## **The Benefits**

15. The LA would be entitled to have a representative on f40's Executive Committee. This would typically be the Cabinet Member, Director or a senior member of staff in education finance, though equally it could be a local head teacher, school governor or member of Schools Forum.
16. F40 maintain several databases of information and specifically include the following;
  - The Lead Member for Children's Services
  - The Director of Children's Services and/or Director responsible for Education/Schools
  - The Chairs of all Schools Forum
  - Finance Managers that deal with school funding
17. The f40 group operate a Finance Managers Research Team made up of experts from member authorities which works closely with the DfE to develop models towards a new funding formula.
18. The f40 group maintains a database of f40 MPs, those who represent f40 areas. (You may not be at all surprised to hear that Wiltshire's MPs are already on that list as they have been recently been working with f40.)

## **Proposals**

19. Schools Forum is asked to note the content of the report.
20. Schools Forum is asked to give consideration to and decide whether to join the f40 group.
21. Should Schools Forum opt to join the f40 group, then the LA's Lead Member should be requested to advise local MP's of the decision and an appropriate press release issued to confirm the LA's membership decision.

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		Local Authority	2015-16 Total DSG Allocation before MFL (£m)	MFL (£m)	2015-16 Total DSG Allocation After MFL (£m)	2015-16 Schools Block Pupil Numbers (Headcount)	DSG Per School age pupil - Pre MFL	Ranking - Pre MFL	DSG Per school aged pupil - Post MFL	Ranking - Post MFL
811	F40	East Riding of Yorkshire	206.49	1.80	208.30	41,348	4,994.07	2	5,037.66	1
855	F40	Leicestershire	415.55	20.77	436.32	85,780	4,844.35	1	5,086.52	2
850	F40	Hampshire	846.12	-	846.12	164,836	5,133.07	10	5,133.07	3
816	F40	York	112.00	-	112.00	21,680	5,166.24	16	5,166.24	4
860	F40	Staffordshire	548.62	0.13	548.76	106,010	5,175.21	18	5,176.45	5
891	F40	Nottinghamshire	525.08	0.86	525.94	101,327	5,182.03	21	5,190.56	6
865		Wiltshire	304.40	5.77	310.17	59,626	5,105.21	6	5,201.98	7
908		Cornwall	334.43	4.88	339.32	65,114	5,136.14	11	5,211.11	8
802		North Somerset	139.35	-	139.35	26,738	5,211.76	24	5,211.76	9
938		West Sussex	519.18	0.93	520.11	99,671	5,208.94	23	5,218.25	10
885	F40	Worcestershire	354.73	6.71	361.44	69,121	5,132.04	9	5,229.12	11
358	F40	Trafford	174.75	0.10	174.85	33,393	5,233.08	27	5,235.98	12
835	F40	Dorset	251.20	3.08	254.28	48,483	5,181.14	20	5,244.66	13
836		Poole	87.17	3.22	90.39	17,213	5,064.28	4	5,251.15	14
933	F40	Somerset	332.76	4.72	337.48	64,260	5,178.34	19	5,251.74	15
857		Rutland	26.45	0.85	27.30	5,194	5,092.90	5	5,256.26	16
877	F40	Warrington	148.95	0.49	149.44	28,423	5,240.55	28	5,257.75	17
895	F40	Cheshire East	237.20	5.76	242.96	46,031	5,153.05	13	5,278.23	18
881		Essex	967.05	-	967.05	182,450	5,300.35	35	5,300.35	19
823	F40	Central Bedfordshire	184.65	5.46	190.11	35,866	5,148.35	12	5,300.48	20
878	F40	Devon	443.75	16.77	460.52	86,611	5,123.50	8	5,317.14	21
916	F40	Gloucestershire	392.15	12.35	404.50	76,008	5,159.37	14	5,321.80	22
800		Bath and North East Somerset	118.93	0.46	119.39	22,365	5,317.77	36	5,338.16	23
935	F40	Suffolk	455.34	10.49	465.83	87,202	5,221.67	26	5,341.95	24
925	F40	Lincolnshire	481.68	4.57	486.25	91,016	5,292.20	34	5,342.43	25
815	F40	North Yorkshire	380.15	9.82	389.97	72,837	5,219.21	25	5,354.02	26
872		Wokingham	115.13	0.72	115.85	21,626	5,323.66	39	5,356.79	27
893	F40	Shropshire	175.94	10.34	186.28	34,752	5,062.80	3	5,360.32	28
830	F40	Derbyshire	495.11	16.32	511.44	95,334	5,193.44	22	5,364.68	29
381		Calderdale	169.53	-	169.53	31,514	5,379.51	46	5,379.51	30
937	F40	Warwickshire	359.10	15.52	374.62	69,563	5,162.30	15	5,385.38	31
919		Hertfordshire	837.27	11.30	848.57	157,427	5,318.47	37	5,390.25	32
803	F40	South Gloucestershire	178.49	7.83	186.32	34,524	5,170.02	17	5,396.74	33
359	F40	Wigan	229.40	-	229.40	42,381	5,412.83	48	5,412.83	34
384	F40	Wakefield	240.27	-	240.27	44,375	5,414.51	49	5,414.51	35
357		Tameside	173.55	-	173.55	32,037	5,417.02	50	5,417.02	36
813	F40	North Lincolnshire	116.17	3.70	119.86	22,124	5,250.75	29	5,417.78	37
356	F40	Stockport	194.84	-	194.84	35,945	5,420.45	51	5,420.45	38
332		Dudley	232.97	-	232.97	42,947	5,424.50	52	5,424.50	39
884	F40	Herefordshire	111.53	2.89	114.42	21,092	5,287.96	33	5,424.90	40
867		Bracknell Forest	81.09	1.58	82.68	15,233	5,323.40	38	5,427.36	41
866	F40	Swindon	152.99	4.30	157.29	28,980	5,279.22	32	5,427.54	42
873	F40	Cambridgeshire	385.63	23.46	409.09	75,348	5,118.02	7	5,429.33	43
931	F40	Oxfordshire	423.69	3.56	427.24	78,583	5,391.58	47	5,436.82	44
392		North Tyneside	138.15	-	138.15	25,372	5,444.86	54	5,444.86	45
888		Lancashire	839.73	-	839.73	154,114	5,448.77	55	5,448.77	46
837		Bournemouth	103.37	1.93	105.31	19,325	5,349.09	41	5,449.16	47
928	F40	Northamptonshire	511.51	10.02	521.53	95,684	5,345.86	40	5,450.57	48
845		East Sussex	330.16	-	330.16	60,555	5,452.15	57	5,452.15	49
926		Norfolk	528.64	18.08	546.72	100,182	5,276.84	31	5,457.29	50
869		West Berkshire	120.07	0.36	120.43	21,992	5,459.69	58	5,476.13	51
342		St Helens	127.27	-	127.27	23,181	5,490.14	60	5,490.14	52
909		Cumbria	322.61	7.70	330.31	60,058	5,371.58	44	5,499.77	53
383		Leeds	546.67	-	546.67	99,307	5,504.81	61	5,504.81	54
382		Kirklees	326.28	-	326.28	59,082	5,522.51	62	5,522.51	55
334	F40	Solihull	171.83	1.56	173.39	31,356	5,480.02	59	5,529.69	56
880	F40	Torbay	88.28	1.52	89.80	16,215	5,444.25	53	5,538.21	57
882		Southend-on-Sea	135.81	-	135.81	24,485	5,546.82	64	5,546.82	58
343		Sefton	191.39	-	191.39	34,497	5,548.11	66	5,548.11	59
887		Medway	208.78	0.51	209.30	37,680	5,540.93	63	5,554.56	60
370		Barnsley	159.25	0.24	159.49	28,709	5,547.09	65	5,555.54	61
311		Havering	194.23	-	194.23	34,948	5,557.57	68	5,557.57	62
350		Bolton	233.33	0.21	233.54	41,940	5,563.42	69	5,568.38	63
936		Surrey	724.78	28.64	753.42	135,160	5,362.36	43	5,574.27	64
929	F40	Northumberland	205.31	11.98	217.29	38,941	5,272.43	30	5,580.08	65
351		Bury	142.57	5.62	148.19	26,527	5,374.67	45	5,586.42	66
826		Milton Keynes	215.80	0.58	216.38	38,714	5,574.21	70	5,589.30	67
879		Plymouth	179.12	0.80	179.91	32,107	5,578.71	71	5,603.48	68
825	F40	Buckinghamshire	369.75	18.26	388.01	69,071	5,353.15	42	5,617.52	69
808		Stockton-on-Tees	144.80	-	144.80	25,771	5,618.72	74	5,618.72	70
851		Portsmouth	131.56	-	131.56	23,370	5,629.27	76	5,629.27	71
886	F40	Kent	1062.60	0.56	1063.16	188,784	5,628.67	75	5,631.62	72
394		Sunderland	195.99	-	195.99	34,764	5,637.61	78	5,637.61	73
372		Rotherham	217.01	-	217.01	38,487	5,638.55	79	5,638.55	74
822	F40	Bedford Borough	129.37	0.79	130.16	23,058	5,610.57	72	5,644.81	75
371		Doncaster	222.44	-	222.44	39,374	5,649.29	80	5,649.29	76
896	F40	Cheshire West and Chester	230.08	9.40	239.48	42,218	5,449.76	56	5,672.41	77
894		Telford and Wrekin	126.15	1.53	127.67	22,466	5,614.95	73	5,682.94	78
807		Redcar and Cleveland	106.25	-	106.25	18,693	5,683.79	82	5,683.79	79
373		Sheffield	377.84	0.12	377.97	66,470	5,684.38	83	5,686.25	80
846		Brighton and Hove	165.48	-	165.48	29,067	5,693.02	84	5,693.02	81
841		Darlington	76.34	-	76.34	13,374	5,707.94	87	5,707.94	82
840		Durham	346.87	4.67	351.54	61,566	5,634.08	77	5,709.97	83
852		Southampton	160.41	-	160.41	28,030	5,722.83	88	5,722.83	84
344		Wirral	236.91	-	236.91	41,322	5,733.31	89	5,733.31	85
921		Isle of Wight	86.51	0.63	87.14	15,171	5,702.23	85	5,744.05	86
805		Hartlepool	74.37	-	74.37	12,934	5,749.57	91	5,749.57	87
335		Walsall	230.68	-	230.68	39,958	5,773.11	93	5,773.11	88
353		Oldham	216.39	-	216.39	37,457	5,777.02	94	5,777.02	89
390		Gateshead	132.81	-	132.81	22,964	5,783.27	95	5,783.27	90
890		Blackpool	101.44	1.41	102.85	17,776	5,706.82	86	5,786.06	91
831		Derby	201.85	-	201.85	34,850	5,791.82	96	5,791.82	92

		Local Authority	2015-16 Total DSG Allocation before MFL (£m)	MFL (£m)	2015-16 Total DSG Allocation After MFL (£m)	2015-16 Schools Block Pupil Numbers (Headcount)	DSG Per School age pupil - Pre MFL	Ranking - Pre MFL	DSG Per school aged pupil - Post MFL	Ranking - Post MFL
868		Windsor and Maidenhead	101.93	2.49	104.43	17,968	5,672.94	81	5,811.78	93
314		Kingston upon Thames	118.48	-	118.48	20,326	5,828.99	97	5,828.99	94
354		Rochdale	178.59	-	178.59	30,555	5,845.00	98	5,845.00	95
318		Richmond upon Thames	132.16	-	132.16	22,588	5,850.76	99	5,850.76	96
331		Coventry	266.17	-	266.17	45,471	5,853.62	100	5,853.62	97
303		Bexley	209.16	0.49	209.64	35,661	5,865.12	101	5,878.75	98
861		Stoke-on-Trent	188.67	3.76	192.43	32,725	5,765.28	92	5,880.09	99
810		Kingston Upon Hull, City of	194.42	-	194.42	33,013	5,889.26	102	5,889.26	100
393		South Tyneside	108.82	-	108.82	18,394	5,916.28	103	5,916.28	101
336		Wolverhampton	200.38	-	200.38	33,534	5,975.52	105	5,975.52	102
883		Thurrock	133.90	-	133.90	22,318	5,999.42	106	5,999.42	103
305		Bromley	231.99	19.66	251.64	41,785	5,551.90	67	6,022.30	104
876		Halton	103.00	-	103.00	17,092	6,026.15	107	6,026.15	105
856		Leicester	272.05	-	272.05	45,108	6,030.97	108	6,030.97	106
319		Sutton	167.42	9.28	176.70	29,201	5,733.40	90	6,051.06	107
391		Newcastle upon Tyne	193.00	-	193.00	31,806	6,068.07	109	6,068.07	108
317		Redbridge	260.28	6.05	266.32	43,786	5,944.30	104	6,082.40	109
380		Bradford	492.42	-	492.42	79,332	6,207.05	111	6,207.05	110
333		Sandwell	278.02	-	278.02	44,771	6,209.73	112	6,209.73	111
310		Harrow	187.12	-	187.12	30,090	6,218.64	113	6,218.64	112
874		Peterborough	177.00	0.86	177.86	28,527	6,204.79	110	6,234.80	113
870		Reading	103.42	-	103.42	16,462	6,282.23	115	6,282.23	114
340		Knowsley	111.59	-	111.59	17,689	6,308.61	116	6,308.61	115
355		Salford	184.64	3.14	187.78	29,598	6,238.31	114	6,344.35	116
889		Blackburn with Darwen	142.01	-	142.01	22,345	6,355.38	117	6,355.38	117
812		North East Lincolnshire	121.17	-	121.17	19,051	6,360.35	118	6,360.35	118
871		Slough	143.67	-	143.67	22,563	6,367.64	120	6,367.64	119
312		Hillingdon	252.10	0.45	252.55	39,601	6,365.96	119	6,377.39	120
308		Enfield	301.04	-	301.04	46,960	6,410.48	121	6,410.48	121
821		Luton	199.56	-	199.56	31,020	6,433.17	123	6,433.17	122
330		Birmingham	1058.70	-	1058.70	164,454	6,437.68	124	6,437.68	123
892		Nottingham	236.21	-	236.21	36,025	6,556.81	125	6,556.81	124
313		Hounslow	222.89	-	222.89	33,902	6,574.42	126	6,574.42	125
801		Bristol, City of	302.88	-	302.88	46,011	6,582.82	127	6,582.82	126
341		Liverpool	354.27	-	354.27	53,196	6,659.69	128	6,659.69	127
301		Barking and Dagenham	229.04	-	229.04	34,244	6,688.41	130	6,688.41	128
302		Barnet	292.93	-	292.93	43,754	6,694.91	131	6,694.91	129
306		Croydon	295.73	12.77	308.51	46,026	6,425.39	122	6,702.89	130
320		Waltham Forest	241.93	-	241.93	35,669	6,782.67	132	6,782.67	131
315		Merton	147.64	6.60	154.24	22,169	6,659.74	129	6,957.24	132
352		Manchester	445.29	-	445.29	63,694	6,991.15	133	6,991.15	133
307		Ealing	297.27	-	297.27	41,856	7,102.26	134	7,102.26	134
806		Middlesbrough	119.80	-	119.80	16,553	7,237.60	135	7,237.60	135
309		Haringey	237.47	-	237.47	31,156	7,621.97	137	7,621.97	136
316		Newham	377.42	-	377.42	49,407	7,638.92	138	7,638.92	137
304		Brent	285.26	11.20	296.46	38,437	7,421.40	136	7,712.88	138
209		Lewisham	274.90	0.73	275.63	34,621	7,940.21	139	7,961.27	139
203		Greenwich	256.60	-	256.60	31,882	8,048.49	140	8,048.49	140
212		Wandsworth	206.78	-	206.78	24,599	8,406.20	141	8,406.20	141
206		Islington	170.28	-	170.28	19,667	8,658.11	142	8,658.11	142
211		Tower Hamlets	317.58	-	317.58	35,221	9,016.67	143	9,016.67	143
208		Lambeth	266.21	-	266.21	29,330	9,076.37	144	9,076.37	144
202		Camden	162.36	-	162.36	17,632	9,208.37	145	9,208.37	145
207		Kensington and Chelsea	90.35	-	90.35	9,660	9,353.00	146	9,353.00	146
213		Westminster	144.54	3.22	147.77	15,426	9,370.20	147	9,579.02	147
205		Hammersmith and Fulham	133.37	-	133.37	13,745	9,702.95	148	9,702.95	148
210		Southwark	290.34	-	290.34	28,683	10,122.37	149	10,122.37	149
204		Hackney	258.97	-	258.97	24,609	10,523.51	150	10,523.51	150
201		City of London	2.44	-	2.44	201	12,119.40	151	12,119.40	151

Wiltshire Council

Schools Forum

12<sup>th</sup> November 2015

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## Funding from Growth Fund - Infant Class Size Growth - Clarification

### Purpose of report

1. To seek clarification regarding the funding for Infant Class Size Growth, from the Wiltshire Growth Fund.

### The Wiltshire Growth Fund

2. Wiltshire currently operates a growth fund and Schools Forum agreed to a number of criteria for the allocation of funding for pupil growth in the 2015-16 financial year. A revision to the scheme was made for 2014-15, due to the previous Wiltshire scheme becoming non-compliant. The element of the scheme that required amendment was the factor for in year pupil growth, as funding can only be provided for growth due to basic need or to meet infant class size regulations.

3. The historical position, prior to 2014-15 stated that;

*“In Year pupil number increases: Funding for in year pupil growth is allocated is the in year increase in numbers would necessitate provision of an additional class. For primary schools total funded NOR is divided by 30 to arrive at a theoretical class number for the school. Total NOR from the following census is also divided by 30 to arrive at a new class number. If the total increase in NOR is greater than 13 and an extra class would be generated then additional funding is allocated per additional class.”*

4. The original scheme required a two-pronged approach;
  - An increase of at least 13 pupils
  - Dividing school pupils by 30, requires an extra class

5. The wording introduced for the 2014-15 financial year was amended due to the changes in the regulations introduced by the Education Funding Agency. The new wording stated that;

*“Infant Class Size Increases: This is payable to a Primary School with infant classes which is required to set up an additional class in the Autumn term as required by the infant class size regulations, and the school cannot accommodate all of its additional Reception and Key Stage 1 pupils in classes of 30 or less, i.e. the total number of pupils in the 3 year groups exceeds a multiple of 30. If the total increase in NOR necessitates that an extra class would be required, then additional funding is allocated per additional class.”*

6. The revised wording has proposed a single-pronged approach;
  - Dividing school pupils by 30, requires an extra class

### The Financial Impact

7. The numbers of schools in receipt of the allowance in 2013-14 and 2014-15 are as follows;

	<b>2013-14</b>	<b>2014-15</b>
Schools Entitled	12	25
Schools with 13+ growth in Infants		13
Cost (£18,210 per school)	£218,520	£455,250

8. Whilst the budget for the Growth Fund has been built based upon a higher number of schools being entitled to the funding, the number of schools entitled increased significantly over this period.
9. Of the schools in 2014-15 with growth of less than 13 pupils, the split was as follows;

<b>Increase in Number of Pupils</b>	<b>Number of Schools</b>
1	2
2	2
4	1
6	2
9	1
10	1
11	1
12	2

10. Without setting a minimum threshold, there are some schools which have seen a minimal increase in pupil number but have been entitled to the funding from the Growth Fund.

### Proposals

11. Schools Forum is asked to note the content of the report.
12. Schools Forum is asked to give consideration to re-establishing a two pronged approach and setting a minimum threshold for the increase in pupil numbers.



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**Wiltshire Council**

**Schools Forum**

**12<sup>th</sup> November 2015**

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## **Funding of Additional High Needs ‘place’ funding - 2016-17 onwards**

### **Purpose of report**

1. To provide Schools Forum with options and proposals for the funding of additional High Needs places for 2016-17 onwards.

### **High Needs Place Funding – Current Position**

2. The funding of High Needs within Resource Bases and ELP provisions is broken down into two elements;
  - ‘place’ funding and
  - ‘top up’ funding
3. Place funding provides institutions with a guaranteed budget for the year that gives them a degree of financial stability, despite the actual number of pupils not always matching the number of places. Places should not be reserved for a specific pupil.
4. Top-up funding is that which is required over and above place funding, to enable a pupil or student with high needs to participate in education and learning. Top up funding will always follow a child and is paid to schools on a month by month basis.
5. Resource Bases and ELP provisions are funded based upon an agreed numbers of places between the school and the LA. These types of provision are funded from the High Needs block and not the Schools block.
6. As a result, the number of pupils aged under 16 on which the schools funding formula for the mainstream school is based, excludes the number of pupils in either the Resource Base or ELP provision.
7. The schools funding is therefore based upon the actual number of pupils on roll (NOR), less the number of places in either the Resource Base or ELP provision. The total amount of AWPU (per pupil) funding awarded to the school will be reduced by the number of its planned High Needs places.
8. All planned places are funded at £10,000 per place and these form part of the schools Funding Certificate, issued either by the LA to maintained schools or the EFA, where the school is an Academy. The table below

sets out the current funding for a school of 1,000 pupils with 25 High Needs places.

	<b>Pupils</b>	<b>Funding</b>	<b>Total</b>
Total NOR	1,000		
High Needs Places	25	£10,000	£250,000
Net NOR for funding	975	£4,000	£3,900,000
<b>TOTAL</b>			<b>£4,150,000</b>

9. The current position adopted by the LA is to fund schools who exceed their current level of planned places. These additional places are funded at £10,000 per place, with the relevant top up value also paid.
10. A number of schools are regularly exceeding their number of planned places and are receiving the additional £10,000 for each extra place, per annum. In some cases a school may temporarily exceed its planned number of places and in other cases the number has historically been exceeded.
11. Due to the funding regulations, the LA has been unable to amend the number of planned places within schools, over recent years, which has compounded the issue.
12. The report below set out two scenarios;
  - Scenario 1 – Where a pupil moves from mainstream into High Needs
  - Scenario 2 – Where a pupil moves from a High Needs setting in School 1 to the High Needs setting in School 2

### **Scenario 1 – Child moves from Mainstream into ELP – High Needs Place Funding Review of Methodology**

13. The paying of £10,000 per additional place is resulting in schools being over funded for the additional High Needs place.
14. Where a pupil forms part of the NOR at a school, the school is provided with the AWPU funding for the pupil. If that pupil subsequently is assessed as a High Needs pupil and needs to be moved into a Resource Base or ELP provision, then where the school has empty places, the school will only receive additional top up funding, not additional place funding.
15. If that pupil were to result in the school exceeding its number of High Needs places, then the school should be entitled to additional place funding.

16. However, as the school will already have received AWPU funding for the particular pupil, to award an additional £10,000 of place funding would result in the school being overfunded by the value of the AWPU. This scenario is exactly the same if a school has historically exceeded its number of planned places and continues to do so, by 1 pupil from the start of the year.
17. The table below sets out the position;

	<b>Original Position</b>	<b>Additional HN Pupil</b>	<b>Proposed Methodology</b>
Total NOR	1,000	1,000	1,000
High Needs Places	25	26	26
Net NOR for funding	975	975	974
<b>TOTAL NOR FUNDED</b>	<b>1,000</b>	<b>1,001</b>	<b>1,000</b>

18. The school would be currently funded for the pupil and additional place as follows;

<b>Funding</b>	<b>Amount</b>
Original AWPU Funding	£4,000
Additional Place Funding	£10,000
<b>Total Funding for Pupil and Place</b>	<b>£14,000</b>

(excludes any top up funding)

19. The correct funding calculation for the pupil would result in only the additional place funding being paid, calculated as below;

<b>Funding</b>	<b>Amount</b>
Original AWPU Funding	£4,000
Additional Place Funding	£10,000
Less: AWPU already funded	(£4,000)
<b>Total Funding for Pupil and Place</b>	<b>£10,000</b>

(excludes any top up funding)

20. The current number of additional places being funded across Wiltshire, at October 2015 stands at 34 places, which are in essence being over-funded.

## **Scenario 2 – Child moves from ELP at School 1 to ELP at School 2 – High Needs Place Funding Review of Methodology**

21. Wiltshire Schools Forum has always supported the principle of 'the money following the child' - a principle of enabling the High Needs funding to follow the child in order to meet their individual needs.
22. In the situation where a child transfers from one secondary school ELP provision to another secondary school ELP provision, which then exceeds its number of planned places, then the £10,000 (pro-rata) funding would follow the child.
23. The funding of the additional place would be administered centrally by the LA as part of the top ups process and therefore schools would not be required to apply for the additional funding.

### **Proposal**

24. Schools Forum is asked to note the content of the report.
25. Schools Forum is asked to give consideration to implementing the revised methodology for the funding of additional places from April 2016-17.

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## Wiltshire Council

### Schools Forum

12<sup>th</sup> November 2015

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### High Needs Recoupment

#### Purpose of report

1. To provide an update regarding the recoupment from schools in relation to High Needs place funding.

#### Current Position

2. At the March 2015 Schools Forum meeting it was agreed to implement a number of measures to assist with the overspend in the High Needs block. One of these measures was to recoup place funding from schools with unfilled places within both Resource Bases and also the ELP provision.
3. A number of schools within Wiltshire have unfilled places within their High Needs provision and some others have exceeded their number of High Needs places.
4. Invoices were raised to schools with unfilled places for the months from April to August 2015. The table below sets out the number of schools invoiced and the amount invoiced.

<u>Recoupment</u>	<u>Schools Invoiced</u>	<u>Total Invoiced</u>
Resource Base	12	£115,833
ELP	11	£207,500
<b>TOTAL</b>	<b>21*</b>	<b>£323,333</b>

\*(2 schools have both a Resource Base & ELP provision)

5. Following receipt of the invoice and accompanying explanatory letter, a number of schools made contact with the Local Authority (LA) and the Education Funding Agency (EFA) to express their concerns.

## **EFA Position**

6. Following a number of complaints directly to the EFA regarding the recoupment exercise being undertaken by the LA, the EFA contacted the LA to obtain further details.
7. The place funding forms part of an individual schools budget share for the funding year. The place funding forms part of the school's income as detailed on its Funding Certificate, issued by the LA to maintained schools or by the EFA directly to academies.
8. The principle behind the place funding is to provide a base level of funding for the institution. The EFA expressed their concerns at the current recoupment action being taken and suggested that it is perfectly legitimate for LA's to use their top ups' mechanism to adjust school funding, as these do not form part of a school's underlying core budget. This would ensure full compliance with the funding regulations.
9. The top ups are paid in addition to the place funding and paragraph 83 of the Schools Revenue Funding 2015-16 Operational Guide states that;  
  
*“Top-up funding is that which is required over and above place funding, to enable a pupil or student with High Needs to participate in education and learning. The EFA makes an allocation to local authorities for High Needs as part of the DSG. Local authorities decide how much to set aside in their High Needs budget, which they then use to pay top-up funding to institutions.”*
10. The EFA have suggested that the LA use the top ups mechanism as a tool to make any adjustments to an individual school's funding, through either not paying, or paying reduced top ups to schools which are operating with unfilled places.

## **Considerations**

11. In order for the LA to remain compliant with the funding regulations, it is proposed that Wiltshire reconsiders its current position with regard to the current recoupment.
12. Preliminary work has been carried out to review the value of top ups payable to individual schools. This work has enabled the LA to identify the level of recoupment available for 2015-16, using this approach.
13. Top up payments have been made to schools for the period from April to October 2015. However, there are still top up payments to be made to schools on a monthly basis from November 2015 to March 2016.
14. The total anticipated recoupment funding for 2015-16, using the original approach was estimated to save the LA through unfilled place

funding a total of £776,000. However, the fully compliant recoupment is estimated to save the LA either £186,190 or £239,927. The table below summarises the position;

<b>Recoupment</b>	<b>Resource Base</b>	<b>ELP</b>	<b>TOTAL</b>
Original place recoupment (est.)	£278,000	£498,000	<b>£776,000</b>
Fully Compliant (Apr 15 – Mar 16)	£80,729	£159,198	<b>£239,927</b>
Fully Compliant (Sept 15 – Mar 16)	£42,171	£144,019	<b>£186,190</b>
Budgeted Savings (RAG)	£50,000	£200,000	<b>£250,000</b>

15. Please refer to Appendices 1 & 2 for a detailed breakdown of the compliant recoupment, by school, for both ELP and Resource Bases.
16. At its meeting in March 2015 when Schools Forum agreed to the recoupment, it was estimated that this would save £250,000 from the High Needs budget. Depending upon the decision of SFWG, the fully compliant approach to the High Needs recoupment is still able to make significant savings towards the anticipated figure.
17. The Finance Director from the Acorn Academy Trust met with representatives of the SFWG to discuss the implications of the current recoupment. Further to this, there was a lengthy discussion surrounding the minimum level of funding required to support a Resource Base to ensure that it is able to fully cater for the needs of its pupils.
18. At present Wiltshire has eight Resource Bases with planned places for between 2 and 6 pupils. The financial viability may dictate that the nature of the provision would vary between schools. These Resource Bases are to be reviewed by the SEN team.

### **Proposal**

19. Schools Forum is asked to note the content of the report.
20. In response to the EFA's guidance, Schools Forum are asked to give consideration to the most appropriate method for recoupment of High Needs funding whilst remaining compliant with the schools funding regulations.

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DfE	School	Specialist Area	Planned Place Numbers	Actual Place Numbers							Place Numbers As At 05.10.15				
				Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar
2010	Rivermead	Complex	19	18	18	18	18	18	18	18	18	18	18	18	
2014	Pembroke Park	Physical	3	2	2	2	2	2	1	1	1	1	1	1	
2021	The Avenue	Complex	10	7	7	7	7	7	10	10	10	10	10	10	
2028	Corsham Primary	ASD, C&I	14	14	14	14	14	14	12	12	12	12	12	12	
2136	Westbury Infants	Complex	8	7	7	7	7	7	6	6	6	6	6	6	
2170	Grove	Hearing	6	3	3	3	3	2	2	2	2	2	2	2	
2184	Longleaze	Complex	4	3	3	3	3	3	0	0	0	0	0	0	
2191	Manor Fields	Complex	14	15	15	15	15	15	15	15	15	15	15	15	
2193	Wansdyke	Complex	20	20	20	20	20	20	20	20	20	20	20	20	
2226	Charter	ASD, C&I	14	14	14	14	14	13	14	14	14	14	14	14	
2025	Marden Vale	Complex	10	13	13	13	14	14	15	15	15	15	15	15	
3117	Malmesbury	Complex	2	3	3	3	3	3	2	2	2	2	2	2	
3123	St Mary's Marlborough	Complex	6	7	7	7	7	7	8	8	8	8	8	8	
3192	Westbury Juniors	Complex	6	5	5	5	5	5	6	7	7	7	7	7	
3216	St Peter's Junior	Complex	10	8	8	8	8	8	10	10	10	10	10	10	
3383	Sarum St Paul's	Hearing	6	4	4	4	4	4	6	6	6	6	6	6	
3407	Woodford Valley	ASD, C&I	14	14	14	14	14	14	13	13	13	13	13	13	
3412	Christ The King	ASD, C&I	18	14	14	14	14	14	18	18	18	18	18	18	
3466	The Manor	ASD, C&I	16	14	14	10	9	9	0	0	0	0	0	0	
3470	Wilton & Barford	ASD, C&I	8	10	10	10	10	10	12	12	12	12	12	12	
4006	Trafalgar	Physical	3	2	2	2	2	2	1	1	1	1	1	1	
5205	Frogwell Primary	Complex & ASD, C&I	14	16	16	16	16	16	14	14	14	14	14	14	
5206	Studley Green	Complex	32	32	32	32	32	34	34	34	34	34	34	34	
5404	Sheldon	Hearing	10	7	7	7	7	7	5	5	5	5	5	5	
<b>TOTAL</b>			<b>267</b>	<b>252</b>	<b>252</b>	<b>248</b>	<b>248</b>	<b>246</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	

584.21	Apr-March Recoupment	Sept-March Recoupment	Top Up Funding Nov Onwards	Scenario 1 Potential Top Up Recoupment Apr-March	Scenario 2 Potential Top Up Recoupment Sept-March
	£ 4,089.47	£ 4,089.47	£ 37,110.00	£ 4,089.47	£ 4,089.47
	£ 11,099.99	£ 8,178.94	£ 1,156.00	£ 1,156.00	£ 1,156.00
	£ 8,763.15	£ -	£ 25,701.00	£ 8,763.15	£ -
	£ 8,178.94	£ 8,178.94	£ 13,870.00	£ 8,178.94	£ 8,178.94
	£ 10,515.78	£ 7,594.73	£ 12,965.00	£ 10,515.78	£ 7,594.73
	£ 25,705.24	£ 16,357.88	£ 4,656.00	£ 4,656.00	£ 4,656.00
	£ 19,278.93	£ 16,357.88	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ 584.21	£ -	£ 33,383.00	£ 584.21	£ -
	£ -	£ -	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ 2,921.05	£ -	£ 6,951.00	£ 2,921.05	£ -
	£ 5,842.10	£ -	£ 14,687.00	£ 5,842.10	£ -
	£ 5,842.10	£ -	£ 12,424.00	£ 5,842.10	£ -
	£ 4,089.47	£ 4,089.47	£ 34,572.00	£ 4,089.47	£ 4,089.47
	£ 11,684.20	£ -	£ 34,050.00	£ 11,684.20	£ -
	£ 14,021.04	£ -	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ 9,086.25	£ 8,178.94	£ 4,284.00	£ 4,284.00	£ 4,284.00
	£ -	£ -	£ -	£ -	£ -
	£ -	£ -	£ -	£ -	£ -
	£ 23,911.19	£ 20,447.35	£ 8,123.00	£ 8,123.00	£ 8,123.00
<b>TOTAL</b>				<b>£ 80,729.47</b>	<b>£ 42,171.61</b>

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DfE	School	Planned Place Numbers	Actual Place Numbers							Place Numbers As At 05.10.15					478.2237	Apr-March Recoupment	Sept-March Recoupment	Top Up Funding Nov	Scenario 1		Scenario 2	
			Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar					Potential Top Up Recoupment Apr-March	Potential Top Up Recoupment Sept-March		
4000	Abbeyfield	11	8	8	8	8	8	8	8	8	8	8	8	8	£ 17,216.06	£ 10,042.70	£ 10,435.00	£ 10,435.00	£ 10,435.00	£ 10,435.00		
4001	Wyvern	6	8	8	8	8	8	8	6	6	6	6	6	6	£ -	£ -	£ -	£ -	£ -	£ -		
4002	Clarendon	14	16	16	16	16	16	11	11	11	11	11	11	11	£ 10,042.70	£ 10,042.70	£ 12,714.00	£ 10,042.70	£ 10,042.70	£ 12,714.00		
4006	Trafalgar	12	6	6	6	6	6	5	5	5	5	5	5	5	£ 37,779.68	£ 23,432.96	£ 5,779.00	£ 5,779.00	£ 5,779.00	£ 5,779.00		
4013	Melksham Oak	14	15	15	15	15	17	17	17	17	17	17	17	17	£ -	£ -	£ -	£ -	£ -	£ -		
4064	Malmesbury	10	8	8	8	8	12	12	12	12	12	12	12	12	£ 4,782.24	£ -	£ 23,376.00	£ 4,782.24	£ 4,782.24	£ -		
4066	Corsham	18	13	13	14	14	14	12	12	12	12	12	12	12	£ 30,606.32	£ 20,085.40	£ 15,488.39	£ 15,488.39	£ 15,488.39	£ 15,488.39		
4067	Wootton Bassett	13	17	17	17	17	21	21	21	21	21	21	21	21	£ -	£ -	£ -	£ -	£ -	£ -		
4070	Stonehenge	17	15	15	15	15	16	16	16	16	16	16	16	16	£ 8,129.80	£ 3,347.57	£ 16,214.00	£ 8,129.80	£ 8,129.80	£ 3,347.57		
4071	Avon Valley	20	12	12	11	11	12	12	12	12	12	12	12	12	£ 47,344.15	£ 30,128.10	£ 16,214.00	£ 16,214.00	£ 16,214.00	£ 16,214.00		
4072	Kingdown	15	16	16	16	16	15	15	15	15	15	15	15	15	£ -	£ -	£ -	£ -	£ -	£ -		
4075	John of Gaunt	22	25	25	25	25	20	20	20	20	20	20	20	20	£ 6,695.13	£ 6,695.13	£ 21,977.00	£ 6,695.13	£ 6,695.13	£ 6,695.13		
4511	St Edmund's	10	9	9	9	9	8	8	8	8	8	8	8	8	£ 9,086.25	£ 6,695.13	£ 10,419.00	£ 9,086.25	£ 9,086.25	£ 6,695.13		
4537	St Laurence	14	14	14	14	14	11	11	11	11	11	11	11	11	£ 10,042.70	£ 10,042.70	£ 12,714.00	£ 10,042.70	£ 10,042.70	£ 10,042.70		
4610	St Joseph's	7	6	6	6	6	9	9	9	9	9	9	9	9	£ 2,391.12	£ -	£ 18,736.00	£ 2,391.12	£ 2,391.12	£ -		
5400	St Augustine's	6	5	5	5	5	7	7	7	7	7	7	7	7	£ 2,391.12	£ -	£ 14,602.00	£ 2,391.12	£ 2,391.12	£ -		
5402	Lavington	8	9	9	9	8	8	8	8	8	8	8	8	8	£ -	£ -	£ -	£ -	£ -	£ -		
5403	Pewsey Vale	15	12	12	11	11	11	11	11	11	11	11	11	11	£ 21,998.29	£ 13,390.27	£ 13,886.00	£ 13,886.00	£ 13,886.00	£ 13,390.27		
5404	Sheldon	19	13	13	13	13	14	14	14	14	14	14	14	14	£ 31,084.54	£ 16,737.83	£ 17,354.00	£ 17,354.00	£ 17,354.00	£ 16,737.83		
5405	St Johns	7	4	4	4	4	5	5	5	5	5	5	5	5	£ 9,086.25	£ 6,695.13	£ 5,779.00	£ 5,779.00	£ 5,779.00	£ 5,779.00		
5406	John Bentley	9	11	11	11	11	11	7	7	6	6	6	6	6	£ 9,086.25	£ 9,086.25	£ 8,107.15	£ 8,107.15	£ 8,107.15	£ 8,107.15		
5408	Bradon Forest	8	9	9	9	9	8	9	9	9	9	9	9	9	£ -	£ -	£ -	£ -	£ -	£ -		
5411	Devizes	16	16	16	16	16	18	18	18	18	18	18	18	18	£ -	£ -	£ -	£ -	£ -	£ -		
5414	Hardenhuish	28	31	31	31	30	30	27	27	27	27	27	27	27	£ 3,347.57	£ 3,347.57	£ 35,896.00	£ 3,347.57	£ 3,347.57	£ 3,347.57		
5415	Matravers	23	24	24	24	24	24	24	24	24	24	24	24	24	£ -	£ -	£ -	£ -	£ -	£ -		
6905	Wellington	18	9	9	9	9	8	8	8	8	8	8	8	8	£ 54,995.73	£ 33,475.66	£ 9,247.00	£ 9,247.00	£ 9,247.00	£ 9,247.00		
6906	Sarum Academy	0	2	2	2	2	5	5	5	5	5	5	5	5	£ -	£ -	£ -	£ -	£ -	£ -		
<b>TOTAL</b>		<b>360</b>	<b>333</b>	<b>333</b>	<b>332</b>	<b>330</b>	<b>330</b>	<b>327</b>	<b>326</b>	<b>325</b>	<b>325</b>	<b>325</b>	<b>325</b>	<b>325</b>				<b>£ 159,198.17</b>	<b>£ 144,019.73</b>			

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## Wiltshire Council

### Schools Forum

12<sup>th</sup> November 2015

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### Review of High Needs Places for 2016-17

#### Purpose of report

1. To provide an update regarding the recent document issued by the Education Funding Agency (EFA), "*High Needs funding 2016 to 2017*" and "*High needs: place change request process – Technical Note for 2016 to 2017*".

#### High Needs Places for 2016 to 2017

2. The EFA issued guidance in September 2015 which details that under the *School and Early Years Finance (England) Regulations 2014*, local authorities have the flexibility to make changes to the number of pre-16 places funded in maintained schools. The changes can apply from April 2016, but the expectation is that the number of places would be amended from the start of the 2016-17 academic year.
3. Local authorities are also able to make changes to the number of pre-16 place numbers used for academies. Any changes to an academies place numbers would be reflected in their EFA funding allocation and would have to be agreed by the individual institution. The changes would apply for the 2016-17 academic year.
4. Any changes to the place numbers for academies must be agreed between the local authority and the academy and will form the basis of the EFA funding.
5. No changes can be made as part of this process to post-16 places.
6. Changes to place numbers in both maintained special schools and special academies are included in this process.
7. At this stage the EFA have assumed that there will be no additional high needs funding in 2016-17. The High Needs block funding will be based upon the 2015-16 year and no changes are expected.

#### Wiltshire Approach

8. Wiltshire Schools Forum has always supported the principle of 'the money following the child' - a principle of enabling the High Needs funding to follow the child in order to meet their individual needs.

9. Wiltshire's High Needs block was significantly overspent in the 2014-15 financial year. A package of measures was adopted by Schools Forum for 2015-16 in order to try and prevent such an overspend recurring.
10. The most contentious of these measures was for the recoument of unfilled places in both Resource Bases and ELP provisions in primary and secondary schools. An exercise to recoup the unfilled 'place' funding indicated that there are a significant number of unfilled places across Wiltshire schools.
11. Naturally, there has been much resistance to the issue of schools having funds recouped. However most schools are in agreement with the principle that, funding of unfilled places is unsustainable and that these funds should be directed to those schools who are admitting pupils above their number of planned places.
12. The opportunity to be able to review and amend the number of planned places at Wiltshire schools has not arisen for a number of years and the guidance now provides Wiltshire with an opportunity to review its allocation of planned places.
13. A simple analysis regarding the number of unfilled places within Wiltshire and also schools exceeding their planned number of places has revealed the following;

	<b>ELP</b>	<b>Resource Base</b>	<b>TOTAL</b>
July '15 – Unfilled places	52	31	<b>83</b>
July '15 – Excess places	21	11	<b>32</b>
<b>Net unfilled places</b>	<b>31</b>	<b>20</b>	<b>51</b>
Oct '15 – Unfilled places	56	21	<b>77</b>
Oct '15 – Excess places	27	7	<b>34</b>
<b>Net unfilled places</b>	<b>29</b>	<b>14</b>	<b>43</b>

14. Based upon the analysis of 'place' information as at July and October this year, it can clearly be seen that:
  - the number of empty places being funded stood at 83 and 77 respectively.
  - schools which had accepted pupils in excess of their planned places were being funded for an additional 32 and 34 places respectively.
  - the current numbers of agreed planned places are not fully supporting the principle of 'the money following the child'.



## Options for the Future

15. Looking forwards the regulations provide the opportunity to consider options to review the number of High Needs places funded within Wiltshire schools.
  - **Option 1**  
Retain the status quo.
  - **Option 2**  
Revise the 'place' numbers at each school, to the actual number of pupils within the Resource Base or ELP provision in the 2015-16 year.
  - **Option 3**  
Agree a core number of funded places with each school and then fund each additional place, above the core number.
  - **Option 4**  
Agree zero places at each school and simply pay for place funding monthly, based upon the actual number of High Needs pupils.
  - **Option 5**  
Revise the number of 'places' agreed to mirror a certain point in time in the 2014-15 year to maximise the number of places funded, but retain the mechanism to recoup from top ups.
16. Option 1, whilst being acceptable with those schools with unfilled places, would not allow for the principle of 'the money following the child'.
17. Option 2, would provide a solution in terms of reducing the place funding to those schools with unfilled places. However, it is possible for schools to have a significant change in their High Needs numbers of pupils during the course of the year, which could result once again in the LA funding unfilled places. For example, one secondary school has seen its number of pupils reduce from 25 to 17 during the year and as a result, the school could be funded for 8 unfilled places.
18. Option 3, would provide a minimum guaranteed number of places and funding at the school. All places in excess of this would be funded by the LA providing the additional 'place' funding based upon the actual number of pupils in the school.
  - a. Schools would still be able to estimate their income each year as they would have knowledge of the pupils leaving the school and those starting in the school.
  - b. The key advantage of Option 3 is that the control over the expenditure of the 'place' funding would be centrally controlled and as such there would be no need for recoupment in the future and no unfilled places.



- c. Option 3 could be implemented easily with maintained schools but would require individual agreement by academies.
19. The table below sets out the impact of reducing the 'place' numbers to individual schools and its impact upon the total number of 'funded' places, for illustrative purposes;

	<b>ELP (26)</b>	<b>Resource Base (24)</b>	<b>TOTAL</b>	<b>Place Funding £</b>
<b>Current places</b>	360	267	627	<b>£6,270,000</b>
<b>0 places</b>	0	0	0	<b>£0</b>
<b>5 places</b>	130	120	250	<b>£2,500,000</b>
<b>8 places</b>	208	192	400	<b>£4,000,000</b>
<b>10 places</b>	260	240	500	<b>£5,000,000</b>

20. Option 4, would provide schools with no guaranteed place funding but schools would continue to be funded for the actual numbers of High Needs pupils in the school. Schools would still be able to estimate their income each year as they would have knowledge of the pupils leaving the school and those starting in the school.
21. The key advantage of option 4 as with Option 3, is that the control over the expenditure of the 'place' funding would be centrally controlled and as such there would be no need for recoupment and no unfilled places.
22. There are a number of authorities throughout the country who have adopted a similar model, whereby the number of funded places is considerably below the actual number of High needs places, giving greater control of the High Needs budget.
23. Option 5 provides the ability to review the number of High Needs places at each institution, whilst ensuring that the maximum number of places to be funded does not exceed the 'actual' places required in 2014-15. This option would provide some assurances in terms of ensuring that Wiltshire can demonstrate to the EFA that it has an agreed number of high needs places, should this be reflected in any changes to the funding formula, as detailed in para's 24 – 27.
24. Option 5 would also allow the LA the opportunity to recoup through top ups in the future.
25. In order to maximise the number of places, the number of pupils in High Needs places as at May 2015, would provide the highest yield, see Appendix 1.

## **EFA – Future Funding of High Needs**

26. The EFA recently commissioned some research (ISOS Institute) into the funding of High Needs and considered any options for the future. There have been no formal decisions from the EFA, however it is known that the overall budget for High Needs is unlikely to be increased and therefore any amendments would come in the form of a redistribution of funding.
27. Should Wiltshire consider option 4, then there is a danger that Wiltshire will be viewed by the EFA as having zero High Needs places. If a new funding approach was introduced by the EFA, which distributed High Needs funding on the basis of the number of High Needs places, then selecting Option 4 could have a detrimental impact upon the future High Needs block funding. This could therefore be deemed a 'risky' approach.
28. The alternative approach which the EFA could give consideration to, is funding High Needs places based upon the actual numbers of High Needs pupils in a school, from census data, and fund the school on the basis of lagged funding. This approach would mirror that of the mainstream school funding, but would ensure that 'the money followed the child' but on a lagged basis.
29. Unfortunately, the EFA are not able to confirm their position with regards to future funding of High Needs and therefore Wiltshire needs to consider an approach which does not prejudice, or will have a minimum impact upon, future funding.

## **Resource Bases**

30. Resource Bases are generally smaller than ELP provisions and 22 out of the 24 in Wiltshire are in primary schools. Current Resource Bases range in size from 2 to 32 places. The average size is 11 places, but this is slightly skewed as 14 Resource Bases have less than 11 places.
31. There is a principle behind planned place funding in that it provides a base level of funding for the school, for example to cover the costs of employing a Resource Base Manager.
32. Planning for the number of pupils in a Resource Base can sometimes be unpredictable, particularly with the uncertainty of numbers arriving into the Reception year.

## **ELP Provision**

33. Enhanced Learning Provisions are provided in secondary schools, with 27 ELP's across the County. The average size of an ELP is 13 places, however they do range in size from 6 places to 28 places.

34. ELP's are funded in the same manner as a Resource Base, however there is greater certainty about the numbers of incoming pupils with High Needs as this has followed the pupil from the primary school.

### **Proposals**

35. Schools Forum is asked to note the content of the report.
36. Schools Forum to agree that Wiltshire should use this opportunity to review the number of High Needs places at all of its schools and submit the amendments to the EFA by the deadline of the 16<sup>th</sup> November 2015.
37. Schools Forum to give consideration to adopting one of the options detailed in paragraph 15. (A different option could be selected for Resource Bases and ELPs).

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## ELP Places

DfE	School	Planned Place Numbers	Actual Place Numbers							Place Numbers As At 05.10.15				
			Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar
4000	Abbeyfield	11	8	8	8	8	8	8	8	8	8	8	8	8
4001	Wyvern	6	8	8	8	8	8	8	6	6	6	6	6	6
4002	Clarendon	14	16	16	16	16	16	11	11	11	11	11	11	11
4006	Trafalgar	12	6	6	6	6	6	5	5	5	5	5	5	5
4013	Melksham Oak	14	15	15	15	15	15	17	17	17	17	17	17	17
4064	Malmesbury	10	8	8	8	8	8	12	12	12	12	12	12	12
4066	Corsham	18	13	13	14	14	14	12	12	12	12	12	12	12
4067	Wootton Bassett	13	17	17	17	17	17	21	21	21	21	21	21	21
4070	Stonehenge	17	15	15	15	15	15	16	16	16	16	16	16	16
4071	Avon Valley	20	12	12	11	11	11	12	12	12	12	12	12	12
4072	Kingdown	15	16	16	16	16	16	15	15	15	15	15	15	15
4075	John of Gaunt	22	25	25	25	25	25	20	20	20	20	20	20	20
4511	St Edmund's	10	9	9	9	9	9	8	8	8	8	8	8	8
4537	St Laurence	14	14	14	14	14	14	11	11	11	11	11	11	11
4610	St Joseph's	7	6	6	6	6	6	9	9	9	9	9	9	9
5400	St Augustine's	6	5	5	5	5	5	7	7	7	7	7	7	7
5402	Lavington	8	9	9	9	8	8	8	8	8	8	8	8	8
5403	Pewsey Vale	15	12	12	11	11	11	11	11	11	11	11	11	11
5404	Sheldon	19	13	13	13	13	13	14	14	14	14	14	14	14
5405	St Johns	7	4	4	4	4	4	5	5	5	5	5	5	5
5406	John Bentley	9	11	11	11	11	11	7	7	6	6	6	6	6
5408	Bradon Forest	8	9	9	9	9	9	8	9	9	9	9	9	9
5411	Devizes	16	16	16	16	16	16	18	18	18	18	18	18	18
5414	Hardenhuish	28	31	31	31	30	30	27	27	27	27	27	27	27
5415	Matravers	23	24	24	24	24	24	24	24	24	24	24	24	24
6905	Wellington	18	9	9	9	9	9	8	8	8	8	8	8	8
6906	Sarum Academy	0	2	2	2	2	2	5	5	5	5	5	5	5
		<b>360</b>	<b>333</b>	<b>333</b>	<b>332</b>	<b>330</b>	<b>330</b>	<b>327</b>	<b>326</b>	<b>325</b>	<b>325</b>	<b>325</b>	<b>325</b>	<b>325</b>

## Resource Base Places

DfE	School	Planned Place Numbers	Actual Place Numbers							Place Numbers As At 05.10.15				
			Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar
2010	Rivermead	19	18	18	18	18	18	18	18	18	18	18	18	18
2014	Pembroke Park	3	2	2	2	2	2	1	1	1	1	1	1	1
2021	The Avenue	10	7	7	7	7	7	10	10	10	10	10	10	10
2028	Corsham Primary	14	14	14	14	14	14	12	12	12	12	12	12	12
2136	Westbury Infants	8	7	7	7	7	7	7	6	6	6	6	6	6
2170	Grove	6	3	3	3	3	2	2	2	2	2	2	2	2
2184	Longleaze	4	3	3	3	3	3	0	0	0	0	0	0	0
2191	Manor Fields	14	15	15	15	15	15	15	15	15	15	15	15	15
2193	Wansdyke	20	20	20	20	20	20	20	20	20	20	20	20	20
2226	Charter	14	14	14	14	14	13	14	14	14	14	14	14	14
2025	Marden Vale	10	13	13	13	14	14	15	15	15	15	15	15	15
3117	Malmesbury	2	3	3	3	3	3	2	2	2	2	2	2	2
3123	St Mary's Marlbor	6	7	7	7	7	7	8	8	8	8	8	8	8
3192	Westbury Juniors	6	5	5	5	5	5	6	7	7	7	7	7	7
3216	St Peter's Junior	10	8	8	8	8	8	10	10	10	10	10	10	10
3383	Sarum St Paul's	6	4	4	4	4	4	6	6	6	6	6	6	6
3407	Woodford Valley	14	14	14	14	14	14	13	13	13	13	13	13	13
3412	Christ The King	18	14	14	14	14	14	14	18	18	18	18	18	18
3466	The Manor	16	14	14	10	9	9	0	0	0	0	0	0	0
3470	Wilton & Barford	8	10	10	10	10	10	12	12	12	12	12	12	12
4006	Trafalgar	3	2	2	2	2	2	1	1	1	1	1	1	1
5205	Frogwell Primary	14	16	16	16	16	16	14	14	14	14	14	14	14
5206	Studley Green	32	32	32	32	32	32	34	34	34	34	34	34	34
5404	Sheldon	10	7	7	7	7	7	5	5	5	5	5	5	5
		<b>267</b>	<b>252</b>	<b>252</b>	<b>248</b>	<b>248</b>	<b>246</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>	<b>243</b>

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## Schools Funding Working Group

**20<sup>th</sup> October 2015, 8:30am, Longleat Room, County Hall**

### Minutes

**Present:** Catriona Williamson, Neil Baker, Martin Watson, Andy Bridewell, Jan Hatherell, John Hawkins, Grant Davis, Susan Tanner, Jane Ralph, Debbie Williams

**Apologies:** Phil Cook

1	<p><b>Minutes from Previous Meeting</b></p> <p>The minutes from the previous meeting were discussed and agreed.</p>	
2	<p><b>High Needs Recoupment Update</b></p> <p>GD presented a paper to the SFWG regarding the recoupment from schools in relation to the High Needs place funding.</p> <p>The paper set out the current work carried out in relation to the recoupment for unfilled places in both Resource Base settings and Enhanced Learning Provisions, covering the period from April 2015 to August 2015.</p> <p>The views of the Education Funding Agency had been sought. They had expressed some concerns over the current method of recoupment and suggested that the recoupment should be taken using the 'top ups' mechanism rather than using the place funding. This would ensure that Wiltshire was acting in full compliance with the funding regulations.</p> <p>The value to be recouped had initially been projected at £250,000, when the recoupment option had been presented as an option to, and approved by Schools Forum in March 2015. Using the recoupment through the top ups funding, the amount likely to be recouped for the financial year 2015-16 is on target for the £250,000.</p>	
3	<p><b>Funding of Additional High Needs Places, above the Agreed Number of Places</b></p> <p>GD presented a paper to outline the proposal for funding 'additional high needs places' in both Resource base settings and Enhanced Learning Provisions.</p> <p>Funding of High Needs places within Wiltshire is broken down into two elements, 'place' funding and 'top up' funding. The schools funded Number on Roll is reduced by the number of High Needs places, which are funded at £10,000 per place</p> <p>Currently within Wiltshire, where schools exceed their numbers of 'planned' places, they are entitled to additional place funding of £10,000 per place, plus the associated top up funding.</p> <p>Two scenario's were presented for discussion;</p> <ul style="list-style-type: none"> <li>- Where a pupil moves from mainstream into High Needs</li> <li>- Where a pupil moves from High Needs setting in one school to another</li> </ul> <p>Scenario 1</p> <p>From a funding perspective, the school would have received an AWPU for this particular pupil and therefore to receive an additional £10,000 (pro rata per month) for the extra place would result in double-funding of the AWPU element.</p>	

	<p>Scenario 2</p> <p>Wiltshire has long supported the principle of the 'money following the child'. In the case of a child moving from a High Needs setting in one school to a High Needs setting in another school, which then cause the recipient school to exceed its number of planned places, then the principle of the 'money following the child' would mean that the school would be funded at £10,000 (pro rata) for each additional place.</p>	
4	<p><b>Review of High Needs Places for 2016-17</b></p> <p>GD presented a paper to the Group titled "High needs: place change request process – technical note 2016 to 2017"</p> <p>The Education Funding Agency (EFA) has issued guidance to enable LA's to make changes to the number of pre-16 place numbers for both maintained schools and academies. The LA has discretion to make the changes to maintained schools, but must agree amendments to place numbers directly with Academies.</p> <p>Given the current position in Wiltshire with planned places, this is an opportune time for Wiltshire to revise its number of planned places for the 2016-17 year. Schools Forum have long supported the view of the 'money following the child'. Therefore the Group can consider alternative options for the future funding of High needs places across the county.</p> <p>ST updated the group on the current direction of Resource bases and ELPs across the county and the more strategic elements of the SEN strategy.</p> <p>The Group was mindful that the EFA are giving consideration to amending the current method of funding High Needs and that their decision could have an impact upon the potential future funding to Wiltshire. In particular, if funding is aligned to the number of High Needs places across the County, should the number of places be reduced, then this would have a detrimental impact upon funding.</p> <p>A number of options were considered by the Group;</p> <ul style="list-style-type: none"> <li>- Option 1 – retain status quo</li> <li>- Option 2 – revise place numbers to current actual numbers</li> <li>- Option 3 – agree a core minimum number of funded places and fund on actual numbers</li> <li>- Option 4 – agree zero places with all schools and fund on actual numbers</li> </ul> <p>The group gave consideration to the options and agreed upon the following option, Option 5</p> <ul style="list-style-type: none"> <li>- agree to fund places based upon actual numbers at a particular point in 2015-16 (May), to maximise funded places, but retain the option for recoupment through top ups.</li> </ul>	
5	<p><b>Control of Surplus Balances 2014-15</b></p> <p>Jane Ralph presented a paper which set out the Schools Revenue Surplus and Deficit Balances for 2014-15. The paper was discussed in detail and a number of questions were raised for further discussion. The key points of the report are;</p>	



	<p>Revenue balances</p> <ul style="list-style-type: none"> <li>- overall reduction in school balances £145,717</li> <li>- increase in Primary balances of £1,038,693</li> <li>- decrease in Secondary balances of £701,108</li> <li>- decrease in Special balances of £483,302</li> </ul> <p>Deficit balances</p> <ul style="list-style-type: none"> <li>- overall increase in deficit balances of £956,124</li> <li>- increase in Primary deficits of £238,858</li> <li>- increase in Secondary deficits of £717,266</li> <li>- no Special Schools are in deficit</li> </ul> <p>The appendices to the report set out the number of schools with balances in excess of 15% of the schools budget share and what action should be taken.</p> <p>A number of schools were deemed to be trying to future proof themselves against future financial difficulties and had built up small balances.</p> <p>JR confirmed that a return was to be issued to each school, as previously agreed with Schools Forum.</p>	
6	<p><b>High Needs Savings Proposals 2015-16 (RAG Rating)</b></p> <p>A RAG rated table was presented which detailed all of the savings measures and categorised the various measures. A discussion took place regarding each of the measures and their associated RAG ratings. The RAG ratings represents a 'savings' document but will need to be considered in light of the actual budget position for 2015-16.</p> <p>Each of the areas was considered in turn and further detail was sought regarding the Hard to Place pupil budgets to clarify whether these savings would be achieved.</p> <p>ST confirmed that negotiations with Independent Special Schools were proving less fruitful than expected but that the real key would be to review all future placements and establish if a suitable in-county placement would be appropriate.</p>	
7	<p><b>AOB – F40 Membership</b></p> <p>GD presented a paper which outlined the aims and objectives of the F40 Group. The F40 Group represents the 40 lowest funded authorities in the country and lobbies the Government towards a fairer funding system.</p> <p>The F40 Group were heavily involved in the lobbying which lead to the additional £5.7m Fairer funding monies awarded to Wiltshire for the 2015-16 financial year.</p> <p>Wiltshire is currently the 7<sup>th</sup> lowest funded authority in the country, previously being the 6<sup>th</sup> worst funded authority in the country. Wiltshire's MP's are working with the F40 Group to influence change in the funding for schools in this county. The work of the F40 Group has been quoted in press releases and other publicity material by both schools and the LA.</p> <p>There is an annual charge for the membership of £1,000 per annum.</p>	
8	<p><b>Date of Next Meeting</b></p> <p>To be confirmed at the next Schools Forum meeting on the 12<sup>th</sup> November</p>	

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## Schools Forum SEN Working Group

13<sup>th</sup> October 2015, 9:30am, Avebury Room, County Hall

### Minutes

**Present:** Catriona Williamson, Debbie Bennett, Susan Tanner, Grant Davis, John Hawkins

**Apologies:** Chris Whitfield

1	<p><b>Minutes from Previous Meeting</b></p> <p>As it was a few months since the last meeting of the group no specific minutes from previous meetings were considered as all issues previously raised would already have been considered by Schools Forum.</p>	
2	<p><b>High Needs Budgets 2015-16</b></p> <p>GD presented an update on the progress of the RAG Rated sheet of all the savings proposals, which were agreed by Schools Forum earlier this year.</p> <p>ST outlined some of the progress made towards achieving a number of the cost savings and this was being monitored.</p> <p>Recouping of unfilled ELP / Resource Base places had caused significant debate amongst schools with High Needs units and was being reviewed by the Schools Funding Working Group. In addition, the EFA's guidance had been sought in order to ensure that any actions would be fully compliant with their funding regulations.</p> <p>Some of the areas on the RAG sheet were discussed in further detail;</p> <ul style="list-style-type: none"><li>- The Manor – recovery of unfilled places monies</li><li>- Independent Providers – reduction in rates payable</li></ul> <p>JH thanked ST for her work in trying to generate savings with Independent Providers, despite this proving less fruitful than anticipated.</p> <p>The overall saving was projected at £1.2 million, but will be reviewed on an on-going basis.</p>	
3	<p><b>High Needs Strategy</b></p> <p>ST led a discussion relating to the SEN strategy. There is a need to change behaviours at both the LA and schools with regard to SEN. The possible introduction of a band zero was discussed along with the need for schools to provide the first £6k of high needs funding support.</p> <p>ST raised that the first meeting of the new SEN Implementation Group with the first meeting scheduled to take place on the 16<sup>th</sup> October. The purpose of the group is propose working groups to lead on the implementation of the SEN strategy.</p>	
4	<p><b>Review of High Needs Places for 2016-17</b></p> <p>GD presented a paper to the Group titled “High needs: place change request process – technical note 2016 to 2017”</p> <p>The Education Funding Agency (EFA) has issued guidance to enable LA's to make changes to the number of pre-16 place numbers for both maintained schools and academies. The LA has discretion to make the changes to maintained schools, but must agree amendments to place numbers directly with Academies.</p> <p>Given the current position in Wiltshire with planned places, this is an opportune</p>	

	<p>time for Wiltshire to revise its number of planned places for the 2016-17 year. Schools Forum have long supported the view of the 'money following the child'. Therefore the Group can consider alternative options for the future funding of High needs places across the county.</p> <p>The proposed methodology for High Needs places was outlined with the group and it was confirmed that it was in accordance with the principle of 'the money following the child'. Both the SFWG and the SENWG support this principle and the need for the LA not to fund unfilled places in the future.</p> <p>The purpose of the Review was not simply to save money but to ensure that the principle of the money following the child was put in place.</p> <p>ST confirmed that some of the smaller Resource Bases were being reviewed for both need and viability.</p>	
5	<b>AOB</b>	
6	<p><b>Date of Next Meeting</b></p> <p>To be confirmed, following the next Schools Forum on the 12<sup>th</sup> November 2015.</p>	